

# MAINLE: a Multi-Agent, Interactive, Natural Language Local Explainer of Classification Tasks

**Paulo Bruno Serafim**, Rômulo Férrer Filho, Stenio Freitas, Gizem Gezici,  
Fosca Giannotti, Franco Raimondi, and Alexandre Santos

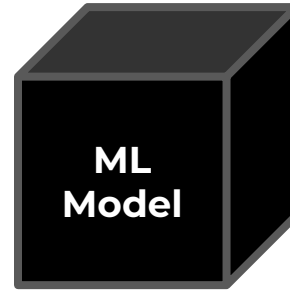
# Motivation

- How to **explain** a Machine Learning **classification** to a **non-expert** user?

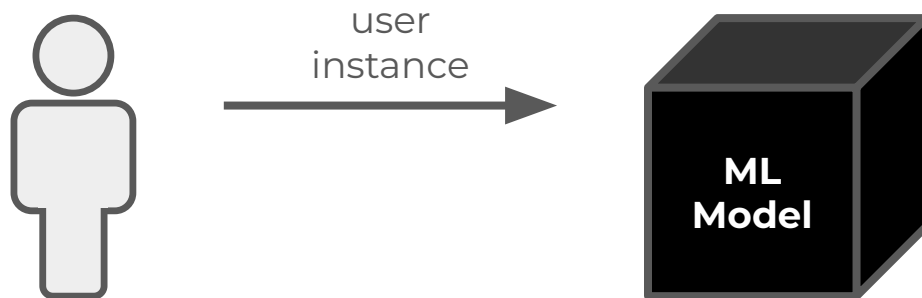
# Machine Learning is Everywhere

- Computers, phones, tvs, home appliances...
- Customer support, recommendation system, classification, predictions...

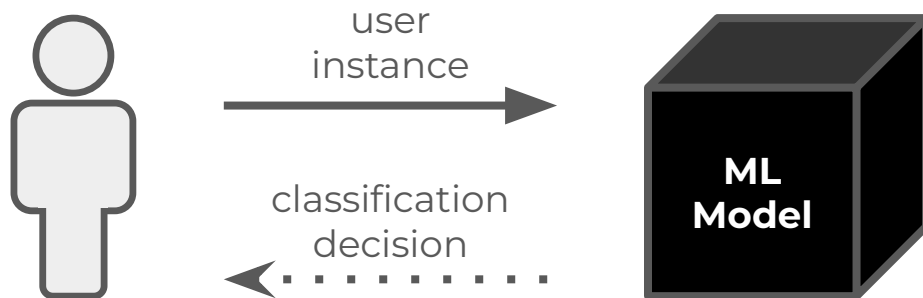
# Example Scenario



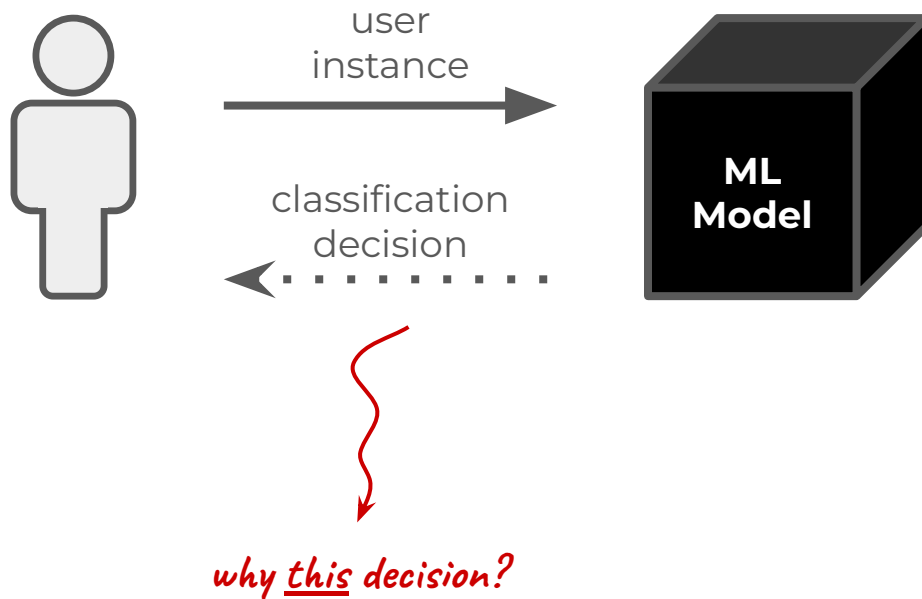
# Example Scenario



# Example Scenario

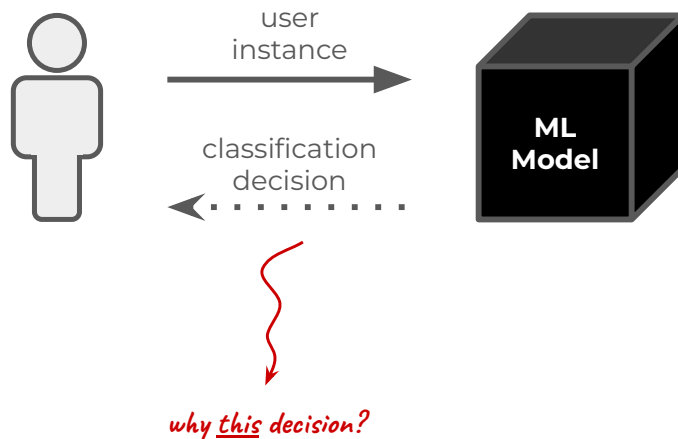


# Example Scenario



# Motivation

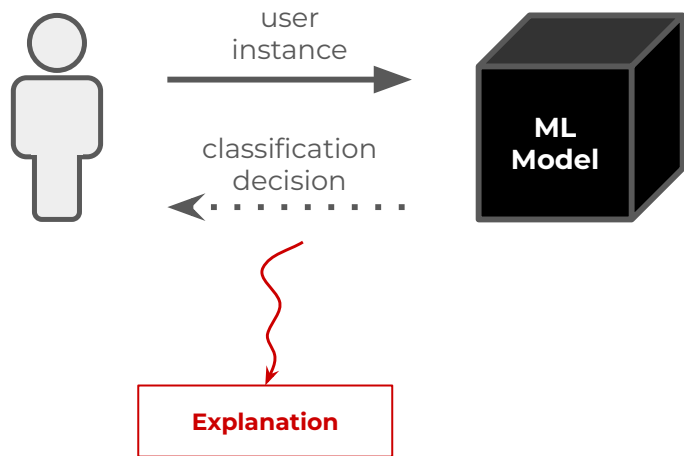
- How to explain a Machine Learning classification to a non-expert user?





# Motivation

- How to explain a Machine Learning classification to a non-expert user?
  - **Explainable AI (XAI) can help**



# Problems

It is hard to **use** XAI methods

# Problems

It is hard to **use** XAI methods

- Command Line
- Git
- Programming
- Python
- PyTorch / Tensor Flow
- Machine Learning
- ...

# Problems

It is hard to **present** Explanations

# Problems

It is hard to **present** Explanations

- List of numbers
- Tables
- Spreadsheets
- Scrollers
- ...

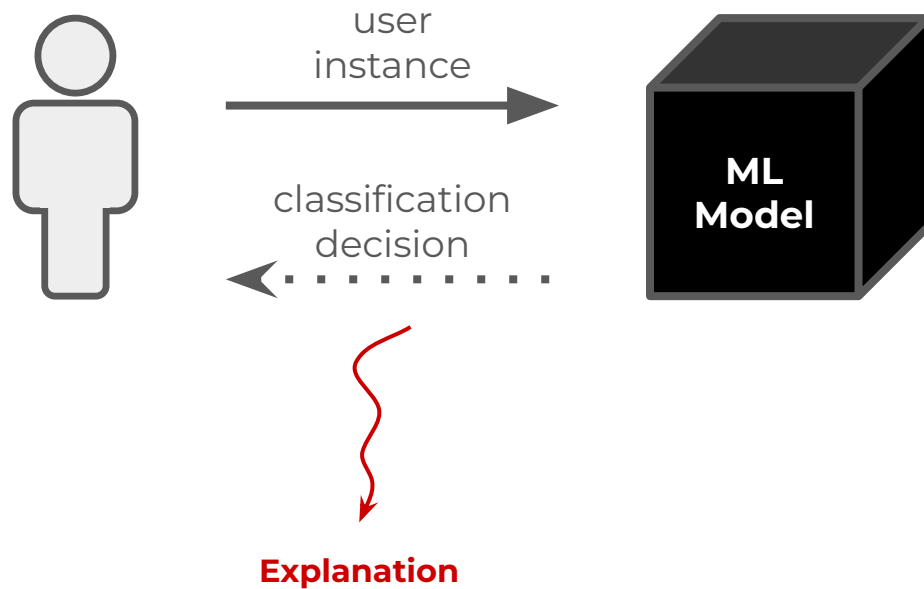
# Problems

Our objective is to remove these barriers

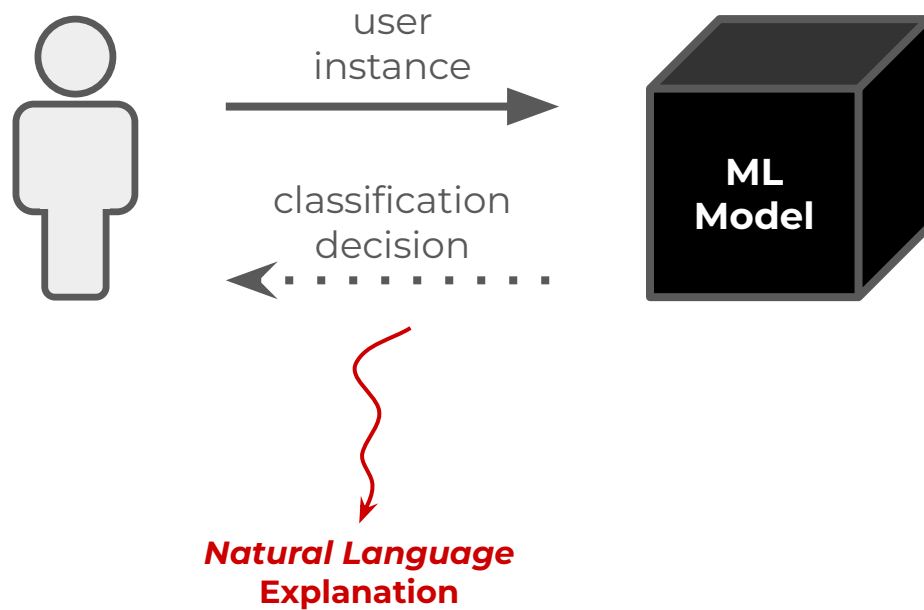


*How?*

# Example Scenario

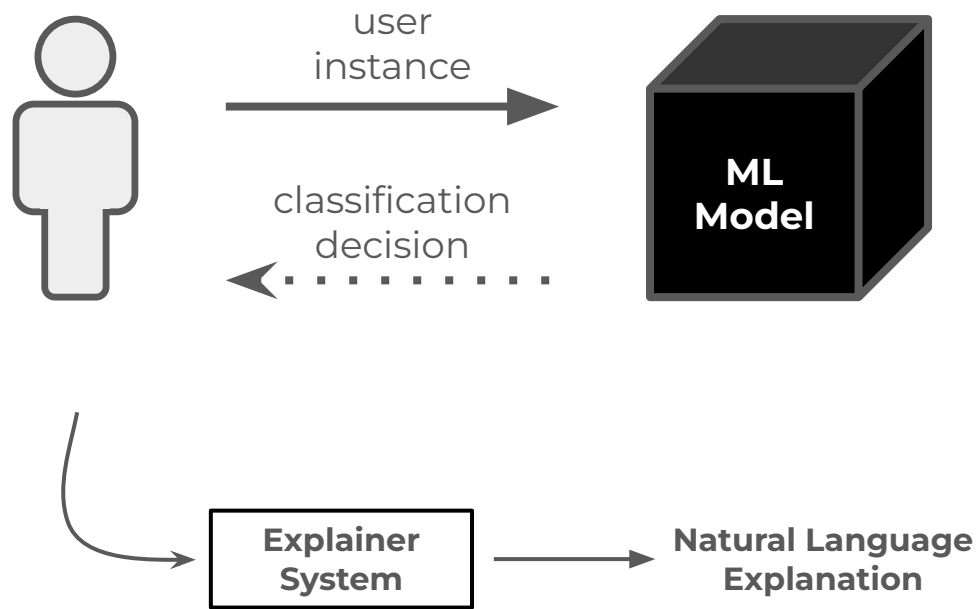


# Example Scenario

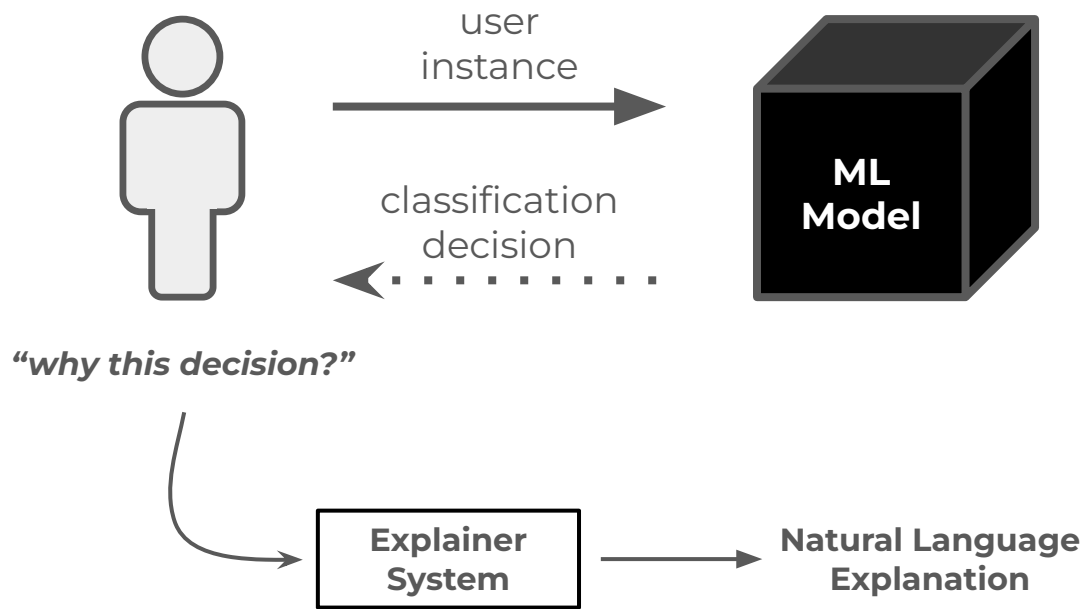




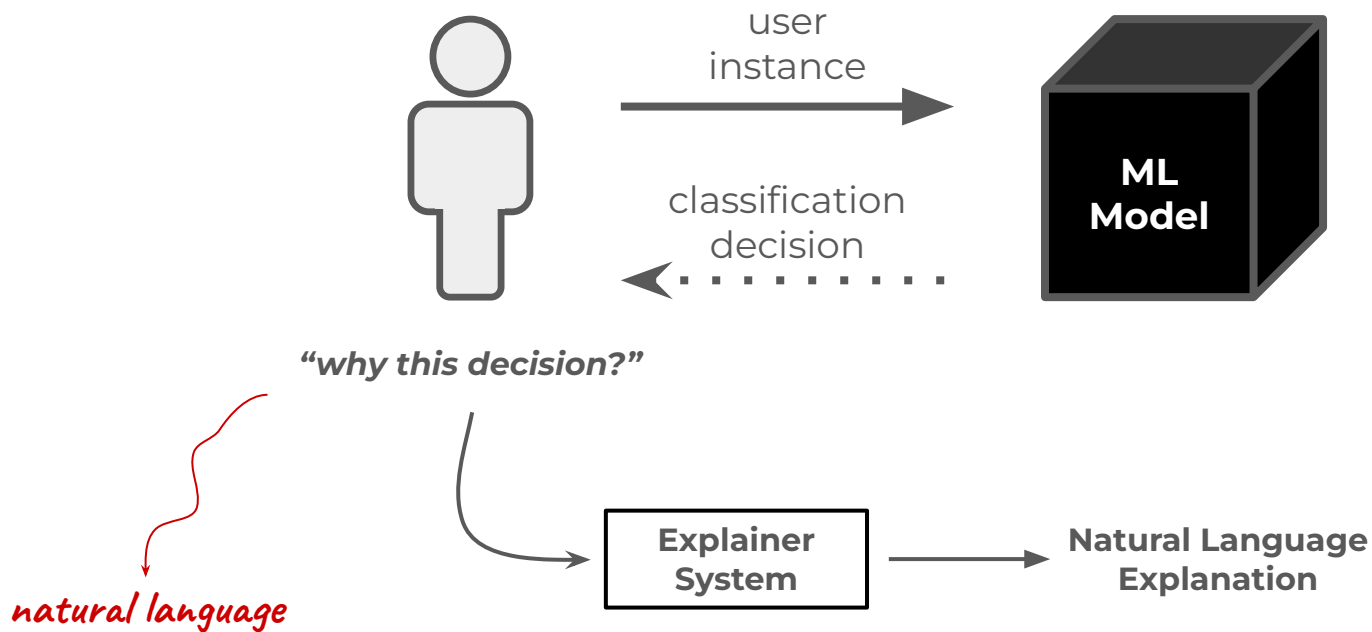
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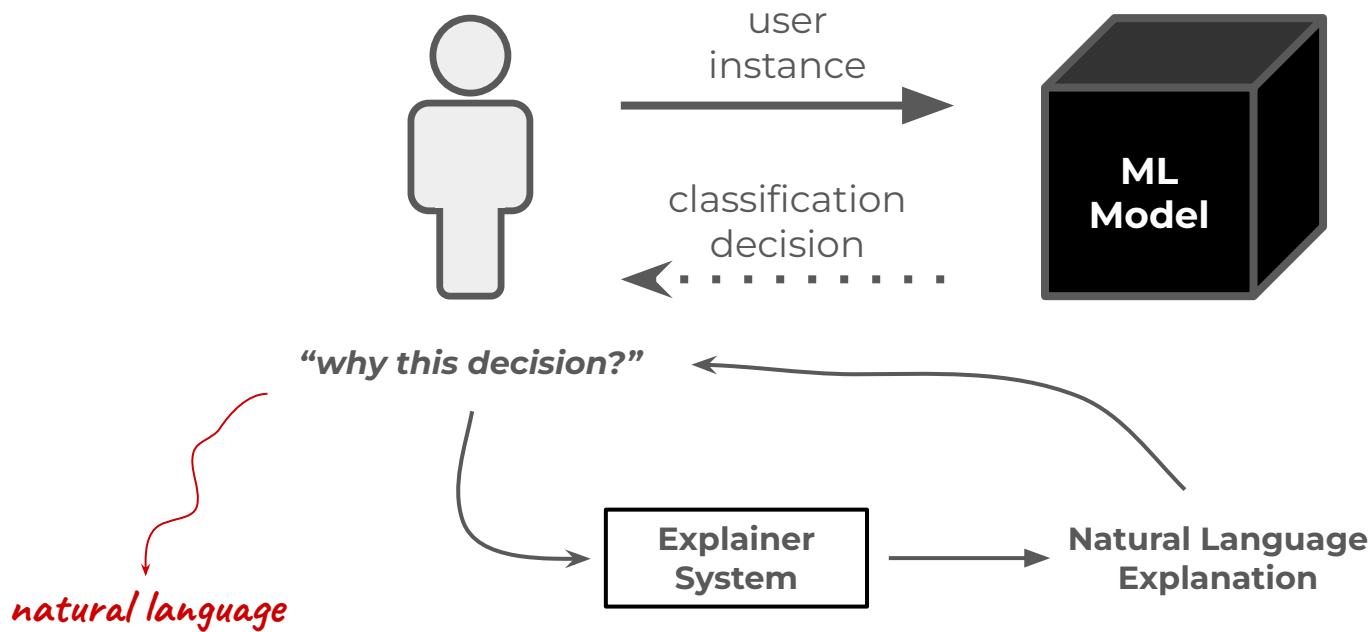
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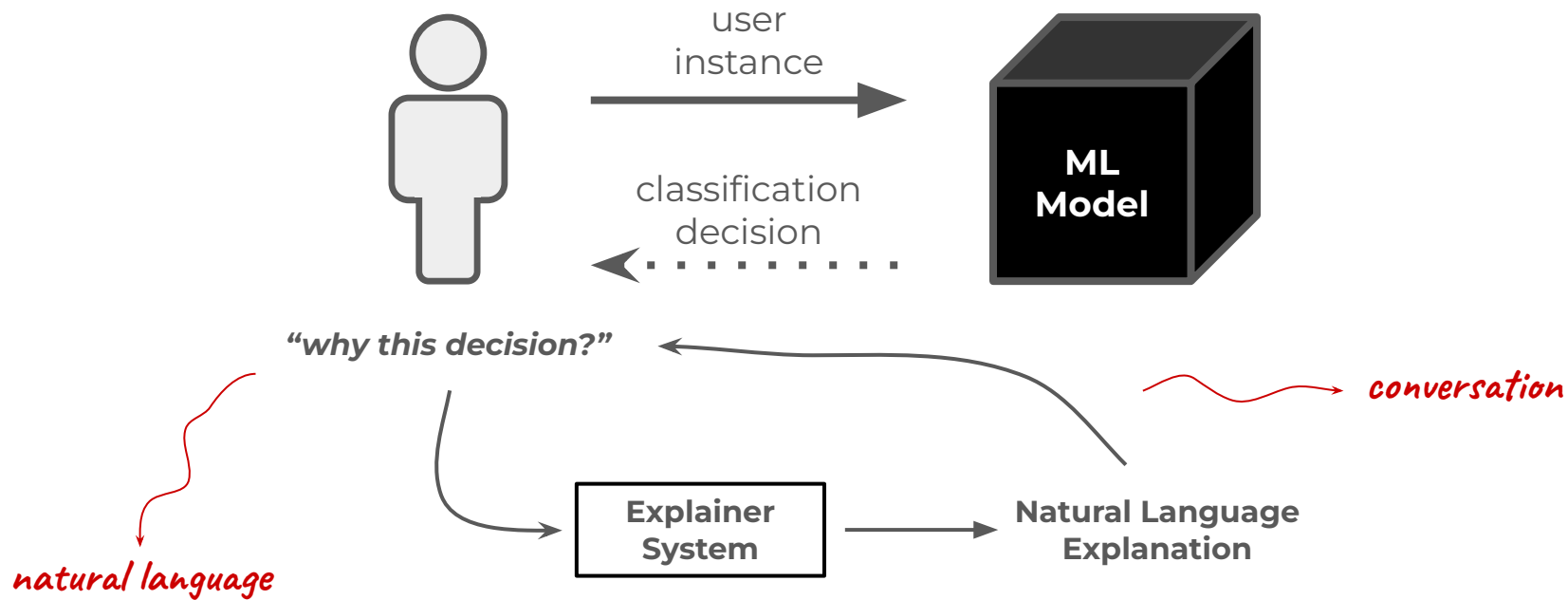
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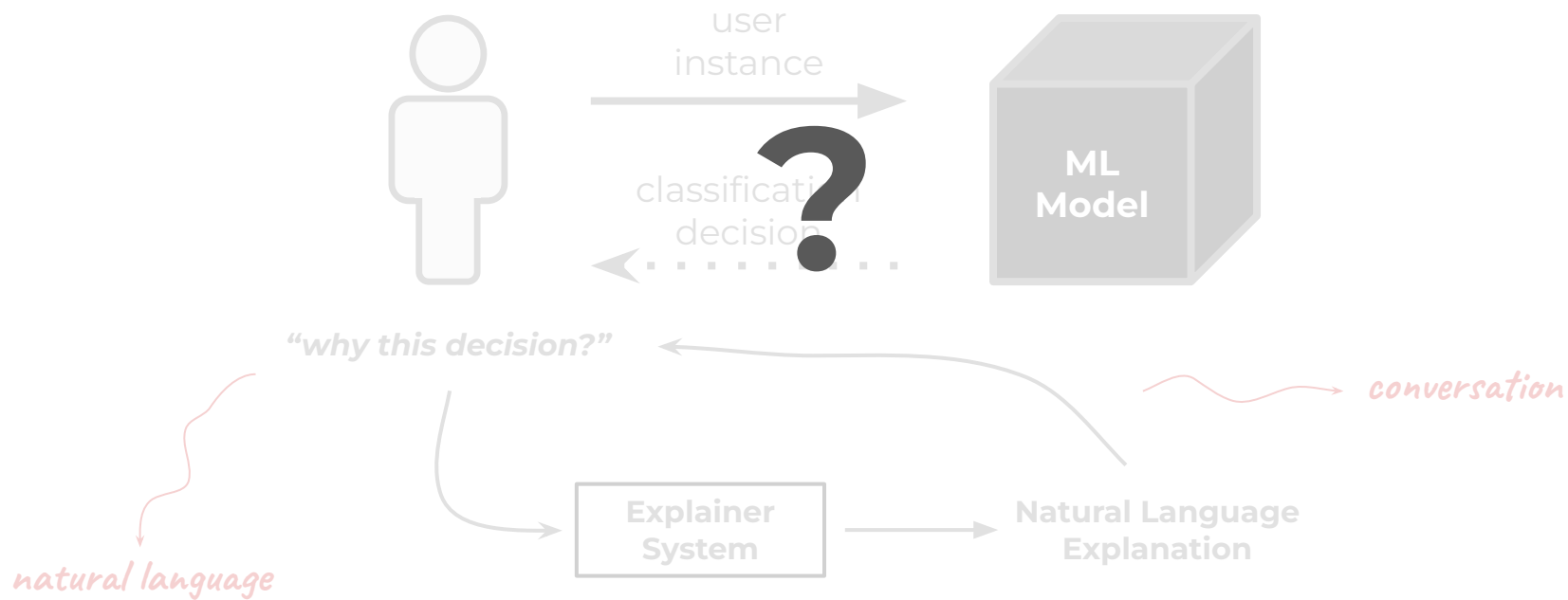
# Example Scenario



# Example Scenario



# Example Scenario



# Related Work

## Generación Automática de Explicaciones en Lenguaje Natural para Árboles de Decisión de Clasificación

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Centro Singular de Investigación en Tecnoloxías da Información (CITUS),  
Universidade de Santiago de Compostela,  
Campus Vida, E-15782, Santiago de Compostela, Spain  
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**Resumen.** En este trabajo describimos un modelo de explicaciones en lenguaje natural para árboles de decisión para clasificadores. Las explicaciones incluyen aspectos globales del clasificador y aspectos locales de la clasificación de una instancia concreta. La propuesta está implementada en el servicio Web de código abierto Explain (1), que en su versión actual opera sobre árboles construidos con Weka y conjuntos de datos con atributos numéricos. Ilustramos la viabilidad de la propuesta con dos casos de ejemplo, donde mostramos por a uno cómo el modelo explica los respectivos árboles de clasificación.  
**Palabras clave.** Explicabilidad, Soft Computing, Árboles de decisión para Clasificación, Generación de Lenguaje Natural

### 1. INTRODUCCIÓN

La generalización del uso de las nuevas tecnologías ha hecho que hoy trabajemos y vivamos rodeados de sistemas inteligentes [2]. Términos como ciudad inteligente, fábrica, casa, coche o teléfono inteligentes, son cada vez más populares. En realidad, existen multitud de dispositivos dotados de cierta inteligencia que nos asiste en el día a día, muchas veces sin que nosotros realmente nos demos cuenta de ello. Muchos especialistas creen que nos ofrece multitud de aplicaciones así para cualquier cosa que podamos imaginar y va con nosotros a todas partes. Se puede afirmar que, si bien en el pasado vivimos una revolución industrial, ahora estamos viviendo una revolución social impulsada por la Inteligencia Artificial (IA).

Cuando un sistema inteligente toma decisiones que nos afectan (ej. filtrar llamadas, diagnóstico médico, concesión de un préstamo, etc.), surge multitud de preguntas que deberíamos hacernos [3]: ¿quién es el responsable de las consecuencias colaterales que pueden derivarse de las decisiones tomadas? ¿cuáles son las consecuencias éticas? ¿puede haber consecuencias legales?

Desde el punto de vista legal, el Parlamento Europeo aprobó una nueva Regulación General de Protección de Datos [4] que entró en vigor el 25 de mayo de 2018. La nueva regulación refuerza el derecho de los ciudadanos a pedir explicaciones, independientemente de que las decisiones que les afectan sean tomadas por una persona o un programa informático. Esto significa que los ciudadanos pueden pedir a las empresas que

les den explicaciones asociadas a las decisiones tomadas por los sistemas inteligentes que utilizan.

Desde un punto de vista técnico, ¿puede explicarse la aplicación que tomó una decisión por qué tomó esa decisión y no otra? Para esto, hay básicamente dos opciones [1]: (1) el sistema inteligente está controlado siguiendo un modelo interpretable (también llamado de caja blanca) que un operario experto puede analizar y entender a fin de elaborar una explicación, o (2) el sistema está controlado siguiendo un modelo explicable que genera explicaciones por sí mismo. La DARPA, fundada en 2016 las siguientes cuestiones técnicas [5]: ¿puede una máquina inteligente aprender de forma autónoma a explicar su comportamiento? ¿está preparada la generación actual de sistemas inteligentes para dar explicaciones de forma clara, sin ambigüedades, tanto a públicos especializados como no especializados? Y hasta el reto de crear una nueva generación de sistemas inteligentes explicable entre 2017 y 2021.

El reto fue lanzado inicialmente a universidades y centros de investigación americanos, con énfasis en la creación de equipos multidisciplinarios que abordaran no sólo aspectos algorítmicos sino también de implementación y evaluación con personas. Los equipos seleccionados empezaron a trabajar en mayo de 2017 pero a día de hoy sólo hemos encontrado resultados muy preliminares (ej. [6], [7]).

Hasta donde nosotros sabemos, en la práctica, la responsabilidad de generar explicaciones recae directamente en el operario asociado al sistema inteligente, si está disponible para ello [8]. Aunque hay sistemas basados en conocimiento que son interpretables, en los últimos años son cada vez más populares las técnicas de IA para aprendizaje automático y minería de datos, supervisadas y no supervisadas (es decir, con o sin intervención humana). Estos sistemas se están desmenuzando en componentes útiles y versátiles, pero la mayoría aún no tienen ninguna capacidad explicativa si tampoco pueden ser interpretados fácilmente por personas (en cuyo caso se dice que son sistemas de caja negra).

Por tanto, el nuevo marco legal demanda que los expertos en IA desarrollen nuevos algoritmos que proporcionen explicaciones de forma automática.

En este trabajo, presentamos un modelo para la interpre-

## López-Trigo, Alonso and Bugarín (2018)

### Generación Automática de Explicaciones en Lenguaje Natural para Árboles de Decisión de Clasificación

## XIV CONGRESO ESPAÑOL SOBRE TECNOLOGÍAS Y LÓGICA FUZZY

Este trabajo describimos un modelo de explicación basado para árboles de decisión para los sistemas inteligentes que utilizan. Las explicaciones incluyen aspectos globales del espectro local de la clasificación de una instancia particular (esta explicación se le atribuye a la instancia) y aspectos globales de la versatilidad de los algoritmos con Weka y conjuntos de datos con ejemplos. Mostramos la viabilidad de la propuesta (por ejemplo, desde mostramos paso a paso cómo se responde a las preguntas de explicación).

**Explicación, Expert Computing, Árboles de clasificación, Generación de Lenguaje Natural.**

La generalización del uso de las nuevas tecnologías ha hecho que hoy trabajemos y vivamos rodeados de sistemas inteligentes [2]. Terminan como ciudad inteligente, fábrica inteligente, escuela inteligente, familia inteligente, etc. En realidad, existen multitud de dispositivos dotados de cierta inteligencia que nos asisten en el día a día, muchas veces sin que seamos totalmente conscientes de ello. Mención especial merece el uso de las aplicaciones móviles, las aplicaciones para cada cualquier cosa que podemos imaginar y van con nosotros a todas partes. Se puede afirmar que, si bien en el pasado vivíamos una revolución industrial, ahora estamos viviendo una revolución social impulsada por la Inteligencia Artificial [3].

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In this work, we explore the explanations and additional information that LLM-generated decision trees can provide. We leverage human evaluators' understanding of readability, quality, and guideline better understanding of malicious network traffic between performance and background knowledge in order to be important for classification.

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Network intrusion detection (NID) systems which leverage machine learning have been shown to have strong performance in practice when used to detect malicious network traffic. Decision trees in particular offer a strong balance between performance and simplicity, but require users of NID systems to have background knowledge in machine learning to interpret. In addition, they are unable to provide additional outside information as to why certain features may be important for classification.

In this work, we explore the use of large language models (LLMs) to provide explanations and additional background knowledge for decision tree NID systems. Further, we introduce a new human evaluation framework for decision tree explanations, which leverages automatically generated quiz questions that measure human evaluators' understanding of decision tree inference. Finally, we show LLM generated decision tree explanations correlate highly with human ratings of readability, quality, and use of background knowledge while simultaneously providing better understanding of decision boundaries.

Network intrusion detection (NID) systems monitor incoming and outgoing network traffic to detect potentially malicious activity. When suspicious traffic is detected, a system administrator is alerted for further investigation. Early NID systems gained popularity by analysing traffic with simple rule-based approaches [1]. However, over time new types of attacks such as malware

shooting, worms, file infection, scan attack, and distributed denial-of-service (DDoS) attacks have been the most common types of attacks in the past few years. However, the number of attacks is increasing so fast that it is not possible to do the complete task of detecting them using rule-based methods alone. In response, machine learning (ML) and data mining based NIDS systems which learn to detect maliciousness from traffic from large amounts of real data began to emerge [2]. However, due to their black-box nature, they are not able to provide any explanation for their decisions. This is a significant limitation of ML based NIDS systems in real-world applications. While decision trees offer a strong balance between simplicity and performance, they still require a user familiar with machine learning to understand the results. Therefore, it is important to study the underlying mechanics to interpret why a particular prediction was made. Efforts to assist in this task have been made in the form of feature importance analysis, which is useful for quantifying the importance of features for a given prediction [3–5]. How one can create decisions and explanations for NIDS systems that can be easily understood by users with no background in machine learning remains an open research question. In this paper, we study the quality of a machine learning model in terms of its ability to explain its decisions.

The recent success of large language models (LLMs) has enabled high quality open-ended text generation for a wide variety of tasks such as long-form question answering, summarization, arithmetic reasoning, and more. In this work, we explore whether large language models can

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HHAI 2024: Hybrid Human AI Systems for the Social Good  
F. Lorig et al. (Eds.)  
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doi:10.3233/FHAI240181

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Eleonora CAPPUCCIO<sup>c,d</sup>, Salvatore RINZIVILLO<sup>d</sup> and Fosca GIANNOTTI<sup>b</sup>

Paulo Bruno SERAFIM<sup>a,1</sup>, Pierluigi CRESCENZI<sup>a</sup>, Gizem GEZICI<sup>b</sup>,  
Eleonora CAPPUCCIO<sup>c,d</sup>, Salvatore RINZIVILLO<sup>d</sup> and Fosca GIANNOTTI<sup>b</sup>

**Abstract.** Decision trees are widely adopted in Machine Learning tasks due to their operation simplicity and interpretability aspects. However, following the decision process path taken by trees can be difficult in a complex scenario or in a case where a user has no familiarity with them. Prior research shows that converting contents to natural language is an accessible way to facilitate understanding for non-expert users. Several tools have been developed, but they have not been able to generate natural Language Models (LLMs) as a tool for providing natural language texts. In this paper, we examine the proficiency of LLMs to explain decision tree predictions in simple terms through the generation of natural language explanations. By exploring different textual representations and prompt engineering strategies, we identify a prompt that strengthens LLMs as a competent tool for generating natural language explanations. Our findings highlight the strengths and weaknesses of LLMs in handling potential challenges and limitations, opening further research possibilities on natural language explanations.

**Keywords:** Explainable AI, decision tree, natural language generation

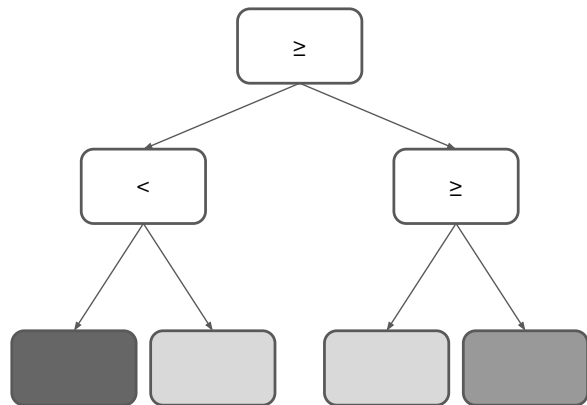
The high complexity of novel Machine Learning (ML) models is an important factor in the production of remarkable results since simpler models were not able to achieve the same level of performance. However, as the models grow, there have been growing concerns due to the opaque nature of widely used black-box algorithms. Among the problems that arise from the non-transparency are the trustworthiness, fairness, and accountability of the models [1]. In this context, the need for an approach to bridge the gap between the algorithmic perspective and human-centered explanation is evident.

Among key expository elements from disciplines such as social sciences and psychology, interactivity has been described as a core value for the implementation of human-centric explainable AI applications [2]. The explanation process has to be outlined as a continuous dialogue between a sender and a receiver, making the user engaged in a conversation [3,4]. More recently, Large Language Models (LLMs) have shown an exceptional ability to generate natural language responses [5]. As such, LLMs emerge

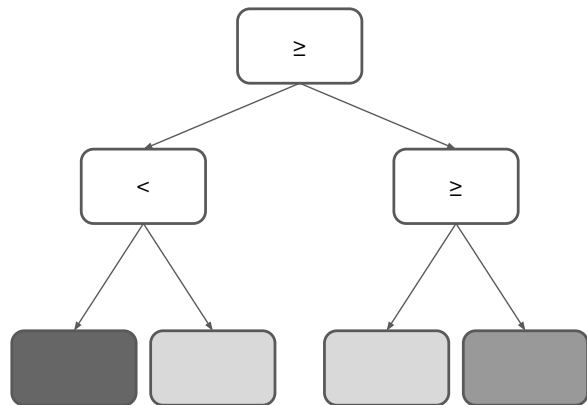
<sup>1</sup>Corresponding Author: Paulo Bruno Serafim, paulo.dsousa@essi.it



# Decision Tree to NLE

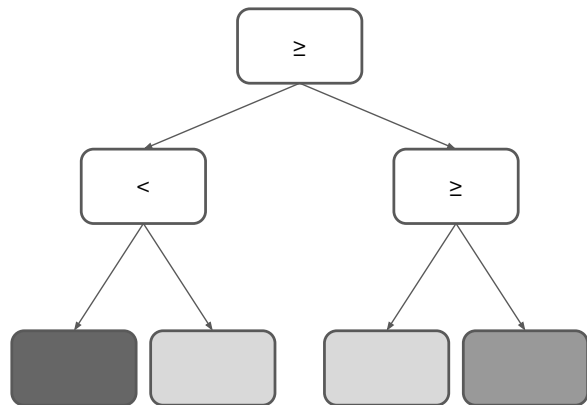


# Decision Tree to NLE



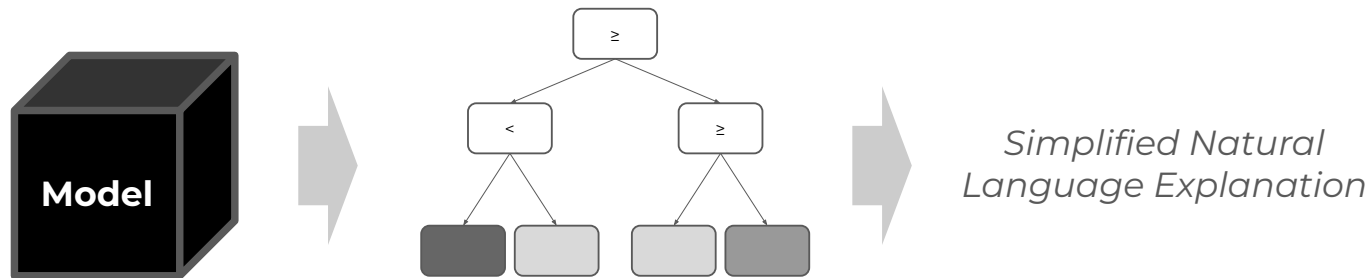
*Natural  
Language Explanation*

# Decision Tree to NLE

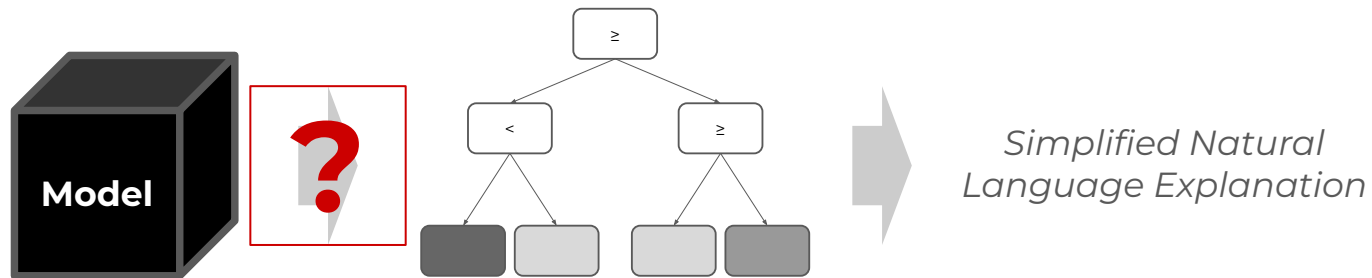


*Simplified Natural  
Language Explanation*

# Decision Tree to NLE



# Decision Tree to NLE



## Factual and Counterfactual Explanations for Black-Box Decision Making

Ricardo Guidotti, Anna Monreale, Fosca Giannotti, Dino Pedreschi, Salvatore Ruggieri, and Franco Turini

**Abstract**—The rise of sophisticated machine learning models has brought accurate but obscure decision systems, which hide their logic, thus undermining transparency, trust, and the ability of AI in socially sensitive and safety-critical contexts. We introduce a local rule-based explanation method providing faithful explanations of the decision made by a black-box classifier on a specific instance. The proposed method first learns an interpretable local classifier via a heuristic neighborhood of the instance under investigation, generated by a genetic algorithm. Then it derives from the interpretable classifier an explanation consisting of a decision rule, explaining the factual reasons of the decision, and a set of counterfactuals, suggesting the changes in the instance features that would lead to a different outcome. Experimental results show that the proposed method outperforms existing approaches in terms of the quality of the explanations and of the accuracy in mimicking the black-box.

**Index Terms**—Explainable AI, Interpretable Machine Learning, Open the Black Box, Explanation Rules, Counterfactuals

### 1. INTRODUCTION

Newspapers are full of commentaries about algorithms taking critical decisions that heavily impact on our life and society, from loan concessions in bank systems to pedestrian detection in self-driving cars. The worry is not only due to the increasing automation of decision making, but mostly to the fact that the algorithms are opaque and their logic unexplained. The main cause of this lack of transparency is that decision-making algorithms are generated often from data through Machine Learning (ML). ML allows building predictive models that map user features into a decision, obtained by generalizing from a dataset of examples. The process of inferring a classification model from examples cannot be easily controlled because the size of training data and the complexity of the learned model are too big for humans. The inability to obtain an explanation for a decision is a profound drawback of learning from data, limiting social acceptance and trust in its adoption in many sensitive contexts. In this paper we address the problem of explaining the decision outcome taken by an algorithm providing “meaningful” explanations of the logic involved “when automated decision making takes place, as prescribed by the “right to explanation” of the European General Data Protection Regulation. We perform our research under some specific assumptions. First, we assume that an explanation is interesting if it clarifies

why a specific decision has been made, i.e., we aim for *local* explanations, not general, global, descriptions of how the overall system works [1], [2]. Second, we assume that the explanations should be as close as possible to the language of reasoning, which is formal logic. Thus, we assume the user can understand elementary logic rules, but it should also be considered that from logic rules it is easy to construct narratives that are understood by users with diverse expertise. Finally, we assume that the black-box decision system can be queried as many times as necessary, to probe its decision behavior to the scope of recommending its logic; this is certainly the case in a legal argumentation in court, or in an industrial setting. On the other hand, we make no assumptions on the algorithms used in the obscure classifier: we aim at an *agnostic* explanation method analyzing the input-output behavior of the black-box, disregarding its internals [3].

We propose LORE, a Local Rule-based Explanation method for tabular data. Given a black-box binary predictor  $b$  and a specific instance  $x$  labeled with outcome  $y$  by  $b$ , we build a simple, interpretable predictor by first generating a balanced set of neighbor instances of the given  $x$  through an ad-hoc genetic algorithm, and then extracting from such a set labelled with  $b$  a decision tree classifier. A *local* explanation is then extracted from the obtained decision tree. The local explanation is a pair composed by  $\langle y \rangle = \text{factual} \cup \text{logic rule}$ , corresponding to the path in the tree that explains why  $x$  has been labeled as  $y$  by  $b$ , and  $\langle \text{not } y \rangle = \text{a set of counterfactual rules}$ , explaining which changes in  $x$  would invert the class  $y$  assigned by  $b$ . For example, from the *corpus* dataset we may have the following explanation: the rule  $\langle \text{age} < 30, \text{mar} = \text{Never}, \text{American}, \text{residence} = \text{True} \rangle = \text{High Risk}$  and the counterfactual  $\langle \text{age} > 30 \rangle$  and  $\langle \text{mar} = \text{Married}, \text{American} \rangle$ . Here, the factual explanation is that the high risk of recidivism is predicted for a black teenager than 40 with prior convictions; the counterfactuals explain that a lower risk would be predicted if the person were either older than 40 or white. The usefulness of the explanation depends on the stakeholder: it may make sense to a judge that wants to understand and evaluate the suggestion by the decision support system and possibly discover that it biased against blacks.

The intuition behind our method, common to other local approaches, such as LIME [3] and ANCHOR [4] is that the decision boundary for the black-box can be arbitrarily complex over the whole data space, but in the neighborhood of a data point there is a high chance that the decision boundary is clear and simple, hence amenable to be captured by an interpretable model. These methods are named local because they focus on the behavior of the black-box in the neighborhood of the

Data Mining and Knowledge Discovery  
https://doi.org/10.1007/s10618-022-00878-5



## Stable and actionable explanations of black-box models through factual and counterfactual rules

Ricardo Guidotti<sup>1</sup> · Anna Monreale<sup>1</sup> · Salvatore Ruggieri<sup>1</sup> · Francesca Naretto<sup>2</sup> · Franco Turini<sup>1</sup> · Dino Pedreschi<sup>1</sup> · Fosca Giannotti<sup>2</sup>

Received: 28 March 2021 / Accepted: 9 September 2022  
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### Abstract

Recent years have witnessed the rise of accurate but obscure classification models that hide the logic of their internal decision processes. Explaining the decision taken by a black-box classifier on a specific input instance is therefore of striking interest. We propose a local rule-based model-agnostic explanation method providing stable and actionable explanations. An explanation consists of a factual logic rule, stating the reasons for the black-box decision, and a set of actionable counterfactual logic rules, proactively suggesting the changes in the instance that lead to a different outcome. Explanations are computed from a decision tree that mimics the behavior of the black-box locally to the instance to explain. The decision tree is obtained through a bagging-like approach that favors stability and fidelity: first, an ensemble of decision trees is learned from neighborhoods of the instance under investigation; then, the ensemble is merged into a single decision tree. Neighbor instances are synthetically generated through a genetic algorithm whose fitness function is driven by the black-box behavior. Experiments show that the proposed method advances the state-of-the-art towards a comprehensive approach that successfully covers stability and actionability of factual and counterfactual explanations.

**Keywords** Explainable AI · Local explanations · Model-agnostic explanations · Rule-based explanations · Counterfactuals

## Algorithm 1 Explainer agent process

**Input:**  $x \leftarrow$  instance,  $\tilde{y} \leftarrow$  target classification,

$b \leftarrow$  black-box classifier,  $D \leftarrow$  dataset

**Output:**  $i \leftarrow$  explanation data

```

1:  $y \leftarrow b(x)$ ;
2: assert  $y = \tilde{y}$ ;
3:  $LORE_{sa} = LORE_{exp}(b, D)$ ;
4:  $e = \langle c, r, \Phi \rangle \leftarrow LORE_{sa}(x, b)$ ;
5:  $c_t \leftarrow text(c)$ ;
6:  $i = \langle \text{feature names, target values, confidence, } c_t, x, r, \Phi, \tilde{y} \rangle$ 
7: return  $i$ 
```

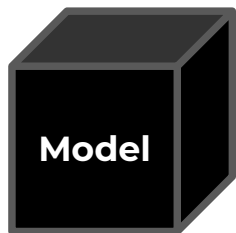
Guidotti, Monreale, Giannotti, Pedreschi, Ruggieri, and Turini (2019)

Factual and Counterfactual Explanations for Black-Box Decision Making

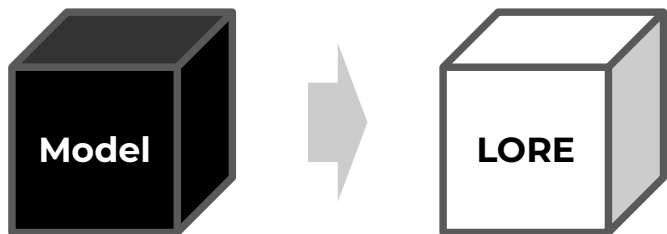
Guidotti, Monreale, Ruggieri, Naretto, Turini, Pedreschi, and Giannotti (2022)

Stable and actionable explanations of black-box models through factual and counterfactual rules

# Decision Tree to NLE

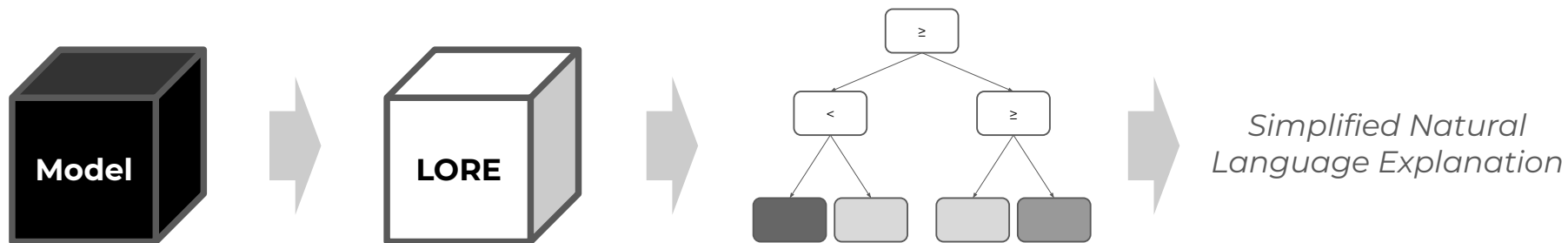


# Decision Tree to NLE





# Decision Tree to NLE



# Goal

*Develop a **conversational interface** to provide **simplified explanations** for **non-expert** users*

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*Develop a conversational interface to provide simplified explanations for non-expert users*

- Internally, an explanation is generated from a Decision Tree built from the classifier using LORE

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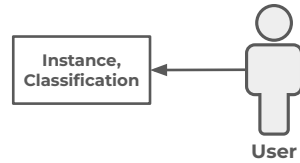
*Develop a conversational interface to provide simplified explanations for non-expert users*

- Internally, an explanation is generated from a Decision Tree built from the classifier using LORE
- The generation process is distributed among **multiple specialized agents**

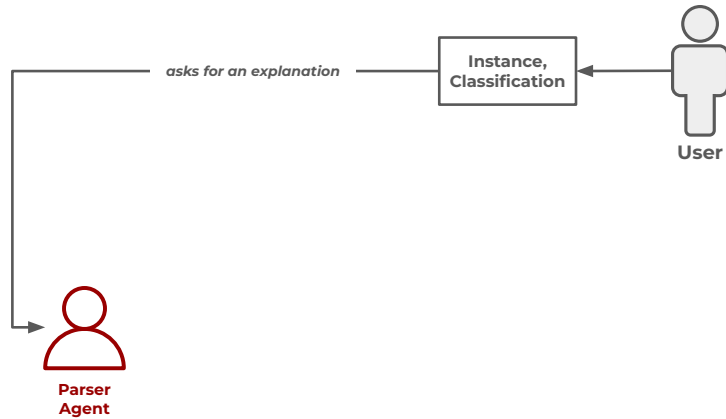
# MAINLE's Simplified Architecture



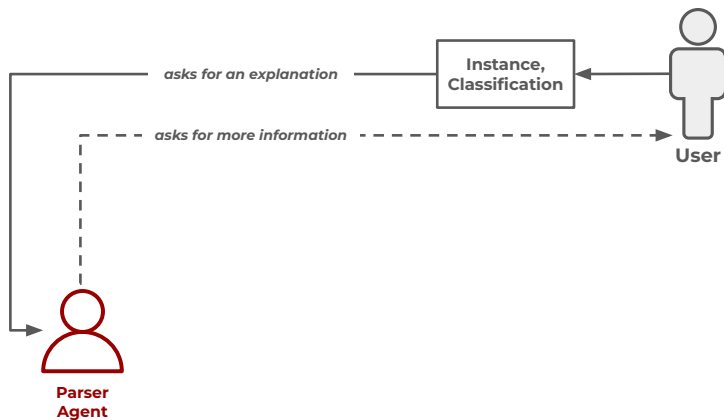
# MAINLE's Simplified Architecture



# MAINLE's Simplified Architecture

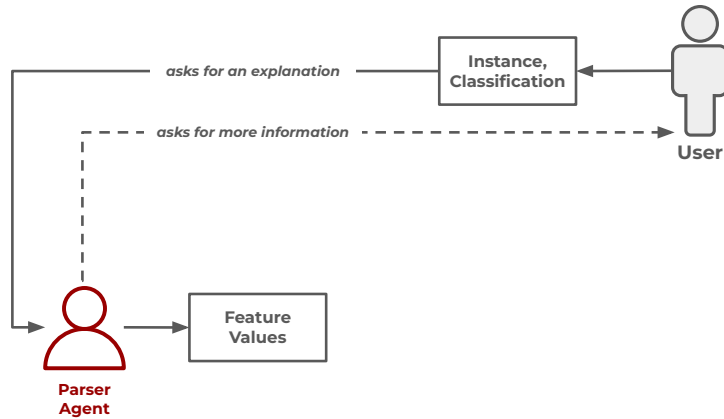


# MAINLE's Simplified Architecture

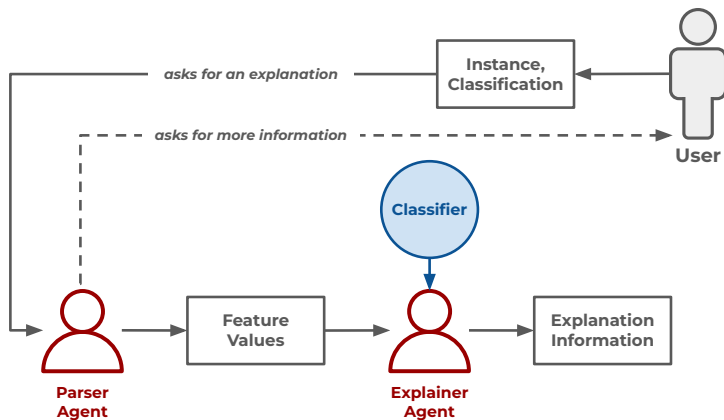




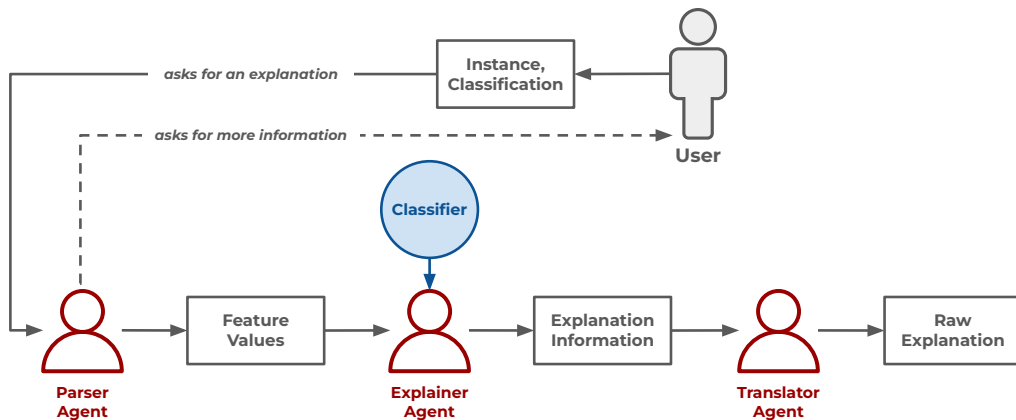
# MAINLE's Simplified Architecture



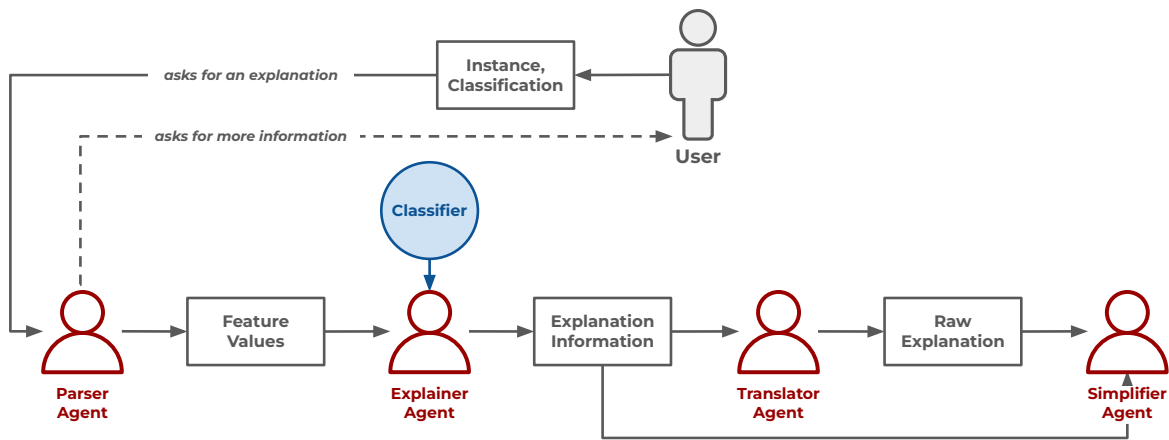
# MAINLE's Simplified Architecture



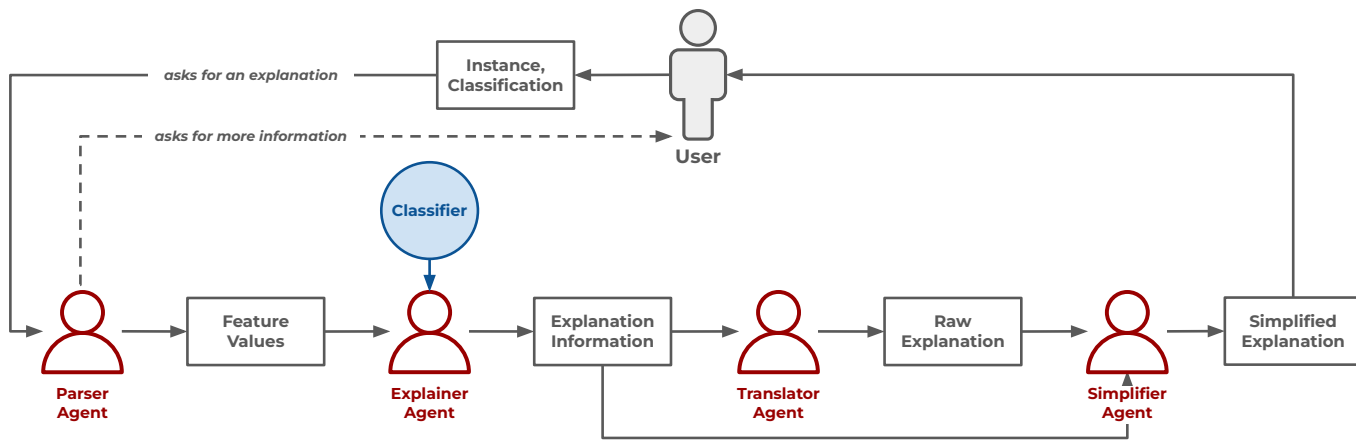
# MAINLE's Simplified Architecture



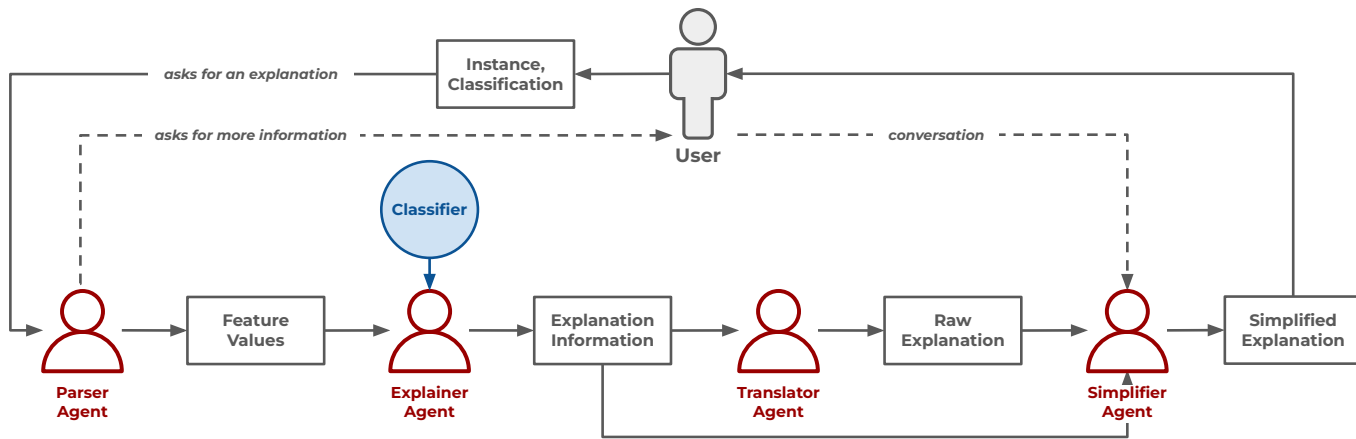
# MAINLE's Simplified Architecture



# MAINLE's Simplified Architecture

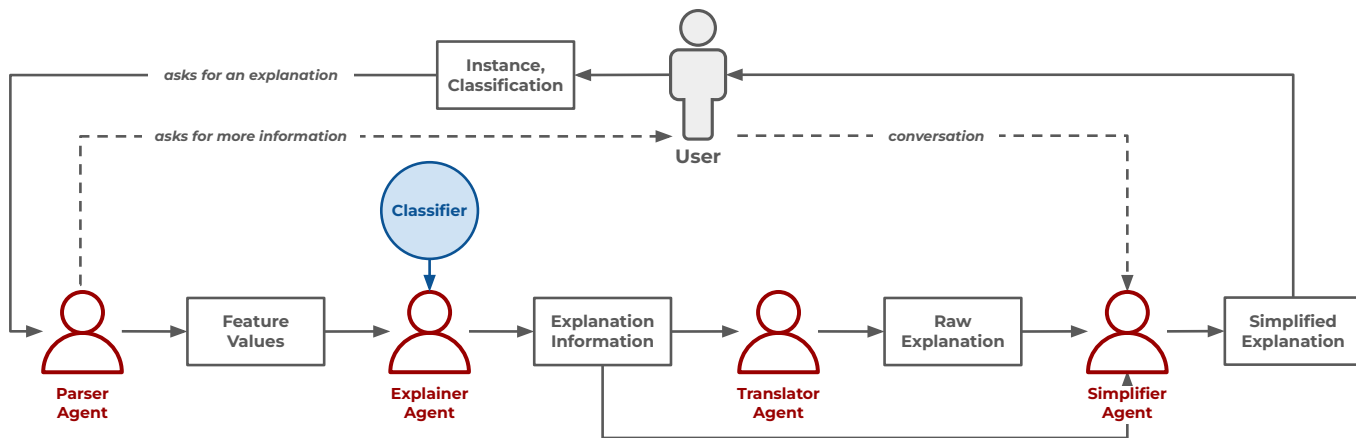


# MAINLE's Simplified Architecture



# MAINLE

a Multi-Agent architecture, interactive, that provides Natural Language Local Explanations of classification outcomes



# Example



**user**

Hello, please explain the classification of a flower that has sepal length of 7.3, sepal width of 2.9, petal length of 6.3, and petal width of 1.8



# Example



**user**

Hello, please explain the classification of a flower that has sepal length of 7.3, sepal width of 2.9, petal length of 6.3, and petal width of 1.8



**assistant**

gpt-4o-2024-08-06

I see that you have provided the following information:

- Sepal length: 7.3
- Sepal width: 2.9
- Petal length: 6.3
- Petal width: 1.8

However, I still need the classification of the flower, specifically the class (setosa, versicolor, or virginica). Could you please provide the class for the flower? Thank you!

# Example



**user**

Hello, please explain the classification of a flower that has sepal length of 7.3, sepal width of 2.9, petal length of 6.3, and petal width of 1.8



**assistant**

gpt-4o-2024-08-06

I see that you have provided the following information:

- Sepal length: 7.3
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- Petal length: 6.3
- Petal width: 1.8

However, I still need the classification of the flower, specifically the class (setosa, versicolor, or virginica). Could you please provide the class for the flower? Thank you!



**user**

The class is virginica

# Example



**user**

Hello, please explain the classification of a flower that has sepal length of 7.3, sepal width of 2.9, petal length of 6.3, and petal width of 1.8



**assistant**

gpt-4o-2024-08-06

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However, I still need the classification of the flower, specifically the class (setosa, versicolor, or virginica). Could you please provide the class for the flower? Thank you!



**user**

The class is virginica



**assistant**

gpt-4o-2024-08-06

The classifier identified the example as belonging to the 'virginica' class with a confidence level of approximately 81.78%. This conclusion was based on evaluating the features of your instance.

Here's a simplified explanation of why it was classified this way:

1. The sepal width is relatively high, indicating that it falls above a certain threshold.
2. The petal length is also high, reinforcing the previous point.
3. The sepal length is greater than a specific value, further supporting the classification.
4. Lastly, the petal width is above the necessary level, which aligns with the characteristics typical of the 'virginica' class.

Overall, these feature values closely matched the conditions required for an instance to be classified as 'virginica', leading the model to make this prediction with a strong level of certainty.

# Example



**assistant**

gpt-4o-2024-08-06

The classifier identified the example as belonging to the 'virginica' class with a confidence level of approximately 81.78%. This conclusion was based on evaluating the features of your instance.

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# Example



**assistant**

gpt-4o-2024-08-06

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**user**

If the sepal width was low, what would be the classification?

# Example



**assistant**

gpt-4o-2024-08-06

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Here's a simplified explanation of why it was classified this way:

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4. Lastly, the petal width is above the necessary level, which aligns with the characteristics typical of the 'virginica' class.

Overall, these feature values closely matched the conditions required for an instance to be classified as 'virginica', leading the model to make this prediction with a strong level of certainty.



**user**

If the sepal width was low, what would be the classification?



**assistant**

gpt-4o-2024-08-06

If the sepal width was low, that would likely lead to a different classification. More specifically, if the sepal width were below or equal to a certain threshold, it could change the outcome altogether.

In this case, the premises that would support a different classification would include:

- A low sepal width (below that specific threshold) would not support the conditions for 'virginica'.

Since the current classification of your instance relies on the relatively high sepal width, altering this feature to be low could lead to a classification of either 'versicolor' or 'setosa' depending on the other values of the instance.

To sum it up, a low sepal width would disrupt the conditions that led to the classification of 'virginica' and instead shift it towards one of the other classes.

# Example



**assistant**

gpt-4o-2024-08-06

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**assistant**

gpt-4o-2024-08-06

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To sum it up, a low sepal width would disrupt the conditions that led to the classification of 'virginica' and instead shift it towards one of the other classes.



**user**

Thank you

# Validation

- User Study

25 people



# Validation

- User Study  
25 people
- Form with raw and simplified explanations

## Explanation Comparison

Two different systems generated two different explanations of why a Machine Learning model made a decision. You will see the question followed by the two explanations. Then, we kindly ask you to rate each explanation according to four criteria, as detailed below.

\*Indica uma pergunta obrigatória

### Question

Hello, my credit request information is as following: a, 22.67, 0.780, u, g, q, v, 2.00, f, 1, 2, t, g, 200.0, 394. Why my loan was rejected?  
(I.e., the features are, respectively: Gender, Age, Debt, Marital status, Bank customer, Educational level, Ethnicity, Number of years employed, Prior default, Employment status, Credit score, Driver license, Citizenship, Zipcode, Income, class)

### First Explanation

The decision tree you provided makes its class predictions based on the value of the "Prior default" feature. Here's a breakdown of how the classification works in this case:

#### 1. Understanding the Decision Tree:

- The decision tree has a simple rule based on the feature "Prior default":
- If "Prior default" is less than or equal to 0.50 ("Prior default"  $\leq$  0.50), the instance is classified as class 1, which corresponds to "approved".
- If "Prior default" is greater than 0.50 ("Prior default"  $>$  0.50), the instance is classified as class 0, which corresponds to "rejected".

#### 2. Features of the Instance:

- In the case you provided, the instance has the feature "Prior default" = "f" (where "f" presumably represents some value).
- The decision tree will evaluate whether this value of "Prior default" is less than or equal to 0.50 or greater than 0.50 to make the classification.

#### 3. Classification Process:

- Since the instance has "Prior default" = "f", you can consider the value of "f":
- If "f" is greater than 0.50, it falls into the path that leads to "rejected".
- If "f" is less than or equal to 0.50, it would lead to "approved".
- Here, we are led to the conclusion that "f" must be greater than 0.50 for the classifier to have concluded that the instance is "rejected".

#### 4. Confidence Level:

- The confidence level of 99.53% means that the decision tree is very confident about its prediction. This high confidence typically arises from the training data; it suggests that similar instances (with "Prior default" values greater than 0.50) in the dataset were predominantly labeled as "rejected".
- Such a high confidence indicates that the model has seen many examples in the training phase where "Prior default" values like "f" (greater than 0.50) corresponded to "rejected" cases.

#### In simpler terms:

- The classifier classified the instance as "rejected" because the value of "Prior default" (which is "f") was determined to be high (greater than 0.50).
- It is very confident (99.53%) in this classification because it has learned from previous similar cases that this condition (high "Prior default") often leads to rejections in the data. Thus, when it sees a high "Prior default," it reliably predicts "rejected."

### Criteria description

Use the following criteria to rate First Explanation:

- **Technical Jargon:** you were able to follow the explanation easily and did not need previous knowledge of the internal procedures.
- **Simplicity:** the terms used were simple and easy to read.
- **Completeness:** you feel you understood the reasons why the decision was made and did not miss additional information.
- **Conciseness:** you feel that all information presented was necessary, there was no useless information in the explanation, and the explanation could not be shorter.

Please rate the first explanation according to the four evaluation criteria. \*

	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree
Technical Jargon	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Simplicity	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Completeness	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Conciseness	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

### Second Explanation

The classifier determined that the instance was "rejected" based on the feature "Prior default", which was evaluated to be high (specifically, greater than 0.50). This led to the conclusion that the instance should be classified as rejected.

The classifier is very confident in this decision, with a confidence level of over 99%. This high confidence comes from its previous training on similar cases where instances with a high "Prior default" were frequently labeled as "rejected". Therefore, when it encountered the current instance with a high value for "Prior default", it reliably predicted "rejected".

### Criteria description

Use the following criteria to rate Second Explanation:

- **Technical Jargon:** you were able to follow the explanation easily and did not need previous knowledge of the internal procedures.
- **Simplicity:** the terms used were simple and easy to read.
- **Completeness:** you feel you understood the reasons why the decision was made and did not miss additional information.
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Simplicity	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Completeness	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Conciseness	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

# Validation

- User Study
- Form with raw and simplified explanations
- Likert scale rating

1: Strongly Disagree

2: Disagree

3: Neutral

4: Agree

5: Strongly Agree

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### Question

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Completeness	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
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### Criteria description

Use the following criteria to rate Second Explanation:

- **Technical Jargon:** you were able to follow the explanation easily and did not need previous knowledge of the internal procedures.
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Completeness	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Conciseness	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

# Evaluation Criteria

- Technical Jargon
- Simplicity
- Completeness
- Conciseness

# Results - User Study - Explanation Rating

Criterion	Raw Explanation	Simplified Explanation
Technical Jargon	3.1 ± 1.3	4.0 ± 1.0
Simplicity	2.8 ± 1.3	4.4 ± 0.8
Completeness	3.4 ± 1.3	3.1 ± 1.3
Conciseness	3.0 ± 1.2	3.9 ± 1.2

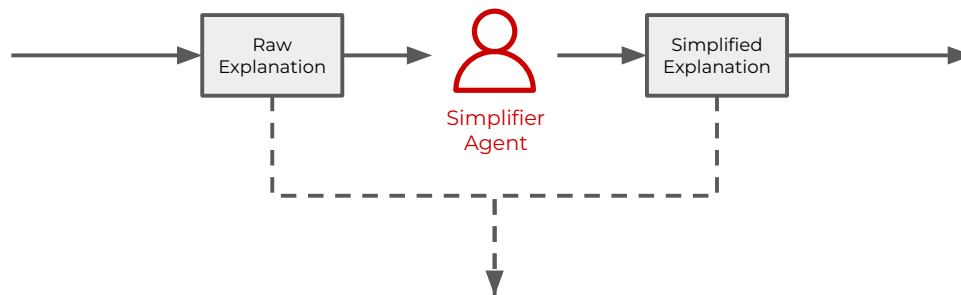
# Results - User Study - Best Explanation

Criterion	Raw	Simplified	Both rated equally
Technical Jargon	5	12	8
Simplicity	1	18	6
Completeness	10	9	6
Conciseness	5	15	5
Total	21	54	25

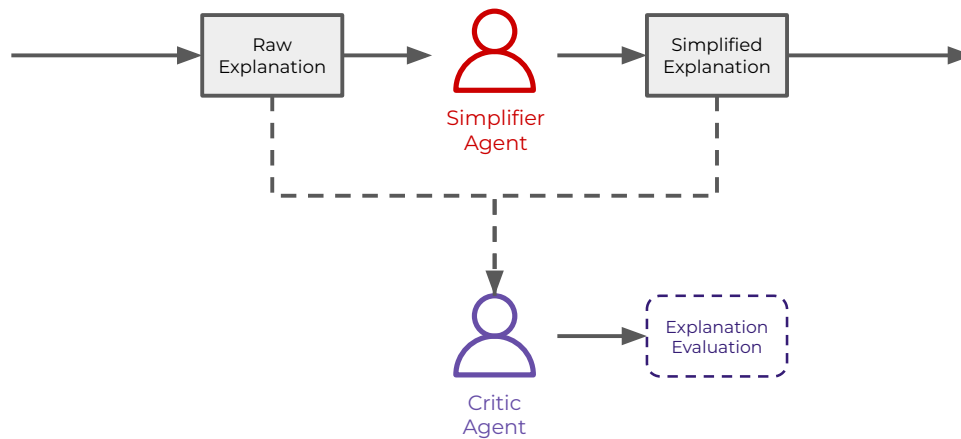
# Critic Agent Evaluation



# Critic Agent Evaluation



# Critic Agent Evaluation





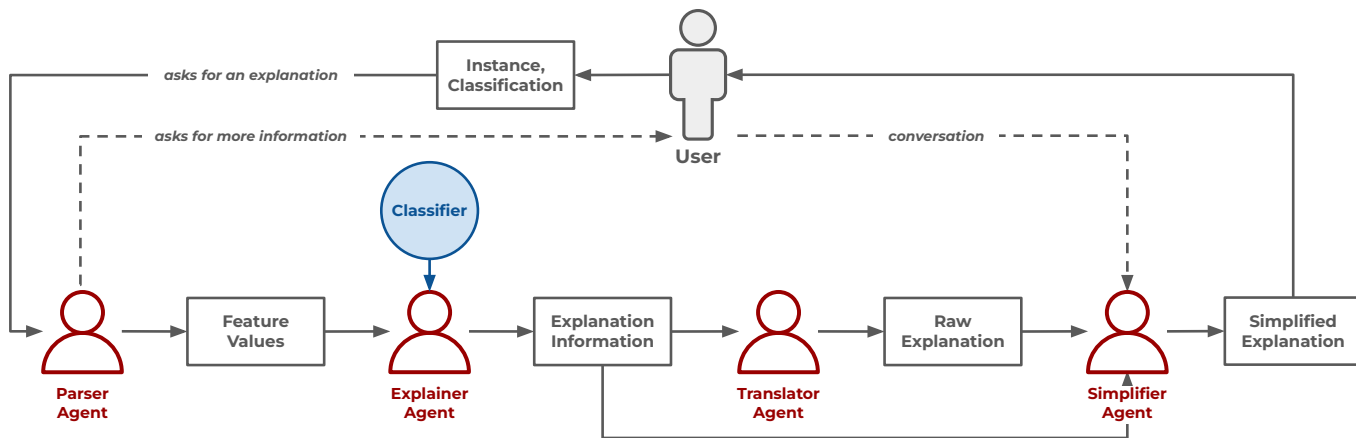
# Results - Critic Agents - Explanation Rating

Criterion	GPT-4o		Gemini 2.0		LLaMA 3.2		DeepSeek-R1	
	Raw	Sim.	Raw	Sim.	Raw	Sim.	Raw	Sim.
Technical Jargon	2.1	4.2	3.7	4.7	2.1	3.9	2.9	3.6
Simplicity	2.0	4.8	3.7	4.7	3.9	4.8	2.7	4.6
Completeness	3.4	4.1	3.9	3.4	3.5	4.6	3.8	3.8
Conciseness	3.3	4.6	3.4	4.6	4.0	4.7	2.7	4.4

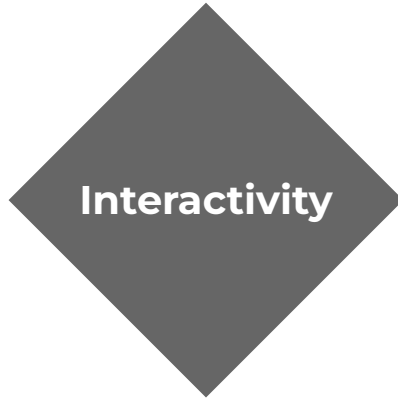
# Results - Critic Agents - Best Explanation

Criterion	GPT-4o		Gemini 2.0		LLaMA 3.2		DeepSeek-R1	
	Raw	Sim.	Raw	Sim.	Raw	Sim.	Raw	Sim.
Technical Jargon	0	25	0	25	0	25	8	17
Simplicity	0	25	0	25	0	25	1	24
Completeness	22	3	5	20	8	17	24	1
Conciseness	0	25	0	25	3	22	2	23
Total	22	78	5	95	11	89	35	65

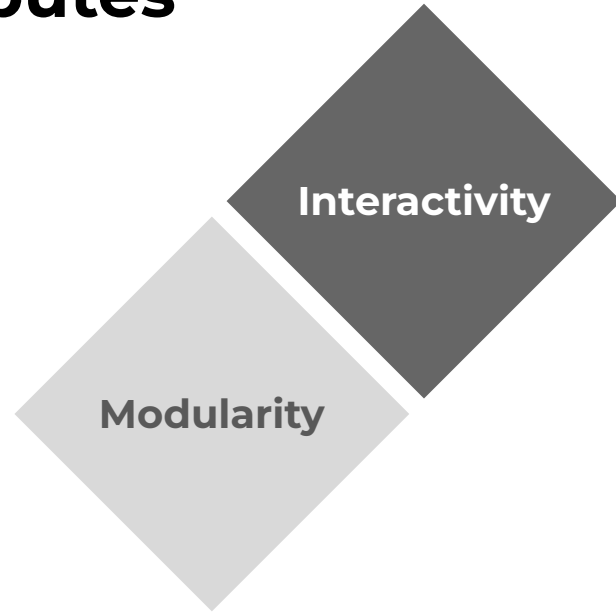
# MAINLE



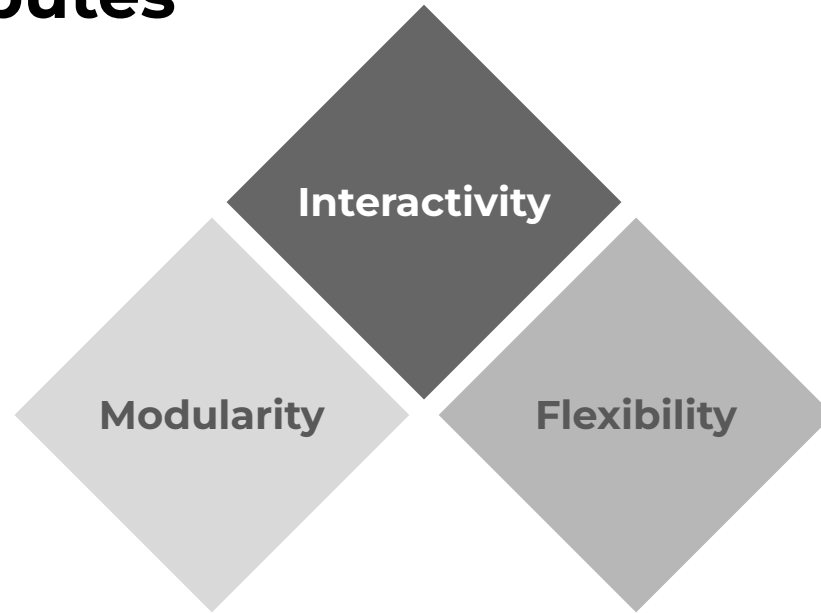
# Quality Attributes



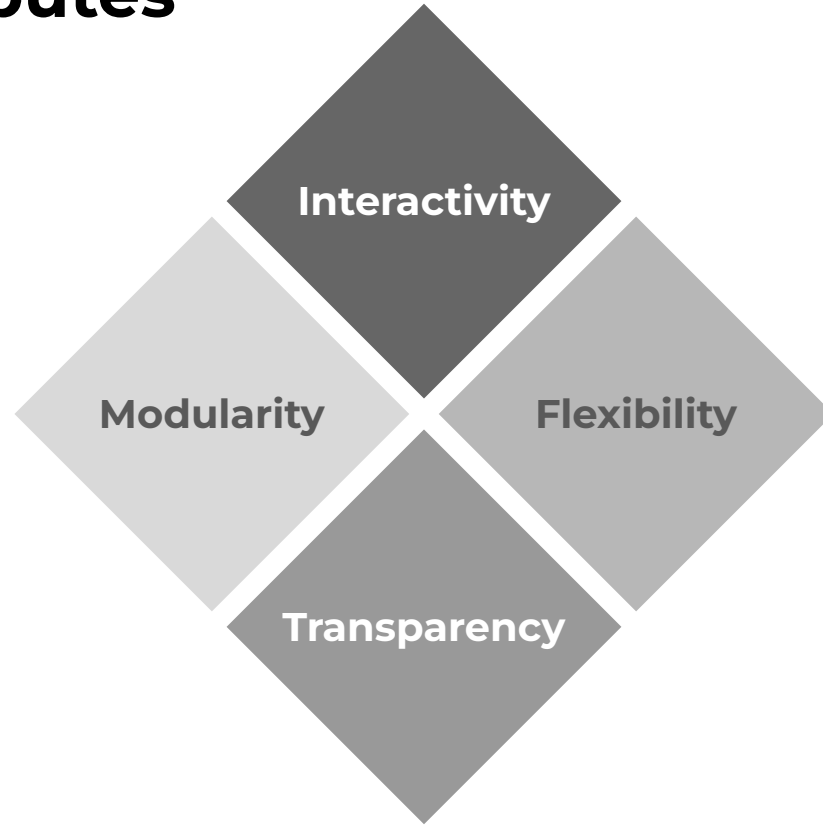
# Quality Attributes



# Quality Attributes



# Quality Attributes



# Potential Risks and Concerns

1. LLM-related problems



# Potential Risks and Concerns

1. LLM-related problems
2. Usage of a surrogate model

## MAINLE: a Multi-Agent, Interactive, Natural Language Local Explainer of Classification Tasks

Paulo Bruno Serafim, Rômulo Férrer Filho, Stenio Freitas, Gizem Gezici, Fosca Giannotti, Franco Raimondi, and Alexandre Santos

Poster Section  
(Board 36)  
Thursday, 18/Sep  
19:00 - 21:00

# Thank you!



*pre-print version*

[github.com/paulobruno/ecml-pkdd-2025](https://github.com/paulobruno/ecml-pkdd-2025)

[paulobruno.github.io](https://paulobruno.github.io)

[paulo.desousa@gssi.it](mailto:paulo.desousa@gssi.it)

**Extra slides**

# Contributions

1. A multi-agent architecture to generate simplified explanations for any ML classifier
2. A process to generate natural language explanations using surrogate interpretable models for any classifier
3. Interactive explanations through conversation
4. Validation of the architecture with user studies
5. Public availability of the code

# LORE Algorithm

---

**Algorithm 1** Explainer agent process

---

**Input:**  $x \leftarrow$  instance,  $\bar{y} \leftarrow$  target classification,  
 $b \leftarrow$  black-box classifier,  $D \leftarrow$  dataset

**Output:**  $i \leftarrow$  explanation data

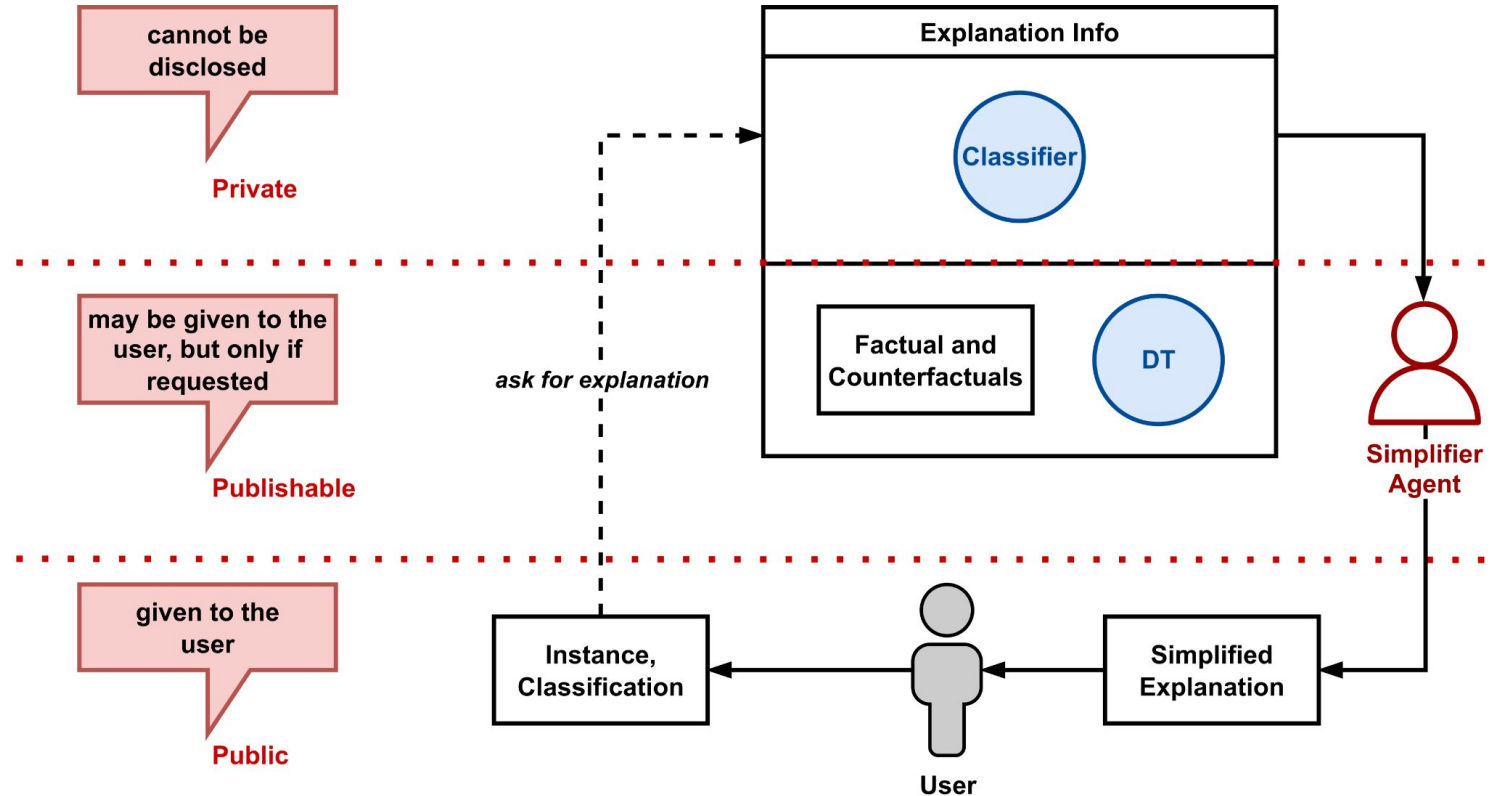
```
1:  $y \leftarrow b(x);$                                 // get instance classification
2: assert  $y = \bar{y};$                                 // validate target classification
3:  $LORE_{sa} = LORE_{exp}(b, D);$                     // generate explainer
4:  $e = \langle c, r, \Phi \rangle \leftarrow LORE_{sa}(x, b);$  // generate explanation
5:  $c_t \leftarrow text(c);$                             // convert tree to text
6:  $i = \langle \text{feature names, target values, confidence, } c_t, x, r, \Phi, \bar{y} \rangle$ 
7: return  $i$ 
```

---

# Quality Attributes

- Interactivity
- Modularity
- Flexibility
- Transparency
  - Decision transparency
  - Information transparency

# Information Transparency



# Information Transparency



**user**

what is the model used?



**assistant**

gpt-4o-mini-2024-07-18

The model used for this classification is a decision tree classifier. Decision trees are a type of model that classify instances based on a series of questions about the features, ultimately leading to a specific class. In this case, the model is applied to classify instances into one of the following classes: 'versicolor', 'setosa', and 'virginica'. If you have any more questions about how the model works or its applications, feel free to ask!



**user**

please provide the decision tree used



**assistant**

gpt-4o-mini-2024-07-18

I'm sorry, but I cannot disclose the decision tree as it is confidential information. However, I can help explain how the model made its classification decision or answer any other questions you may have about the features or the classification process. Please let me know how else I can assist you!



# Datasets, Classifiers and LLMs

- Iris Flower
- Breast Cancer
- Wine
- Adult Income
- Credit Approval
- Random Forest
- Gradient Boosting
- MLP
- Gpt-4o
- Gemini 2.0
- Llama 3.2
- DeepSeek-R1

# Non-LLM Translator

## Raw Explanation

The instance is classified as virginica because sepal length (cm) is greater than 6.96 and sepal width (cm) is 2.09 and petal length (cm) is greater than 2.26 and petal width (cm) is greater than 1.08.

## Simplified Explanation

The instance was classified as 'virginica' based on the following features: the sepal length is high, the sepal width is moderate, and the petal width and petal length are also high. This combination of features strongly aligns with what is typically seen in the 'virginica' class of flowers. The model is quite confident in this classification, with a confidence level of about 81%. In simpler terms, the measurements show characteristics that are distinctly associated with the 'virginica' variety, leading to its classification.

# Questions from the audience

*Here are the two questions asked by the audience during the presentation and their summarized answers.*

- 1. Did you ask the users if they could understand the explanation and not only to rate them?**
  - a. No, because that would require users to have domain knowledge about the dataset, which we did not want to require, since we were evaluating MAINLE from the perspective of non-expert users.
- 2. Which LLM did you use for the simplifier and how did you implement it to simplify an explanation?**
  - a. (I showed the extra slide with the LLMs used) We created a structured prompt and passed it as system instructions for the LLMs that accept system prompts or in the question for the LLMs that do not accept them. All prompts are publicly available in the repository.