

# MAINLE: a Multi-Agent, Interactive, Natural Language Local Explainer of Classification Tasks

Paulo Bruno Serafim, Rômulo Férrer Filho, Stenio Freitas, Gizem Gezici,  
Fosca Giannotti, Franco Raimondi, and Alexandre Santos

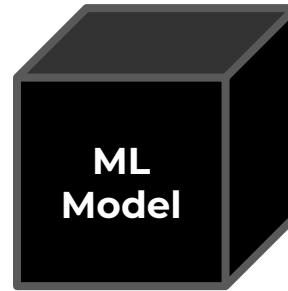
# Motivation

- How to **explain** a Machine Learning **classification** to a **non-expert** user?

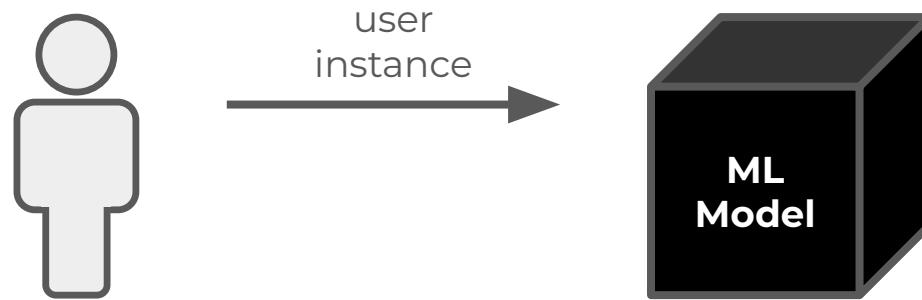
# Machine Learning is Everywhere

- Computers, phones, tvs, home appliances...
- Customer support, recommendation system, classification, predictions...

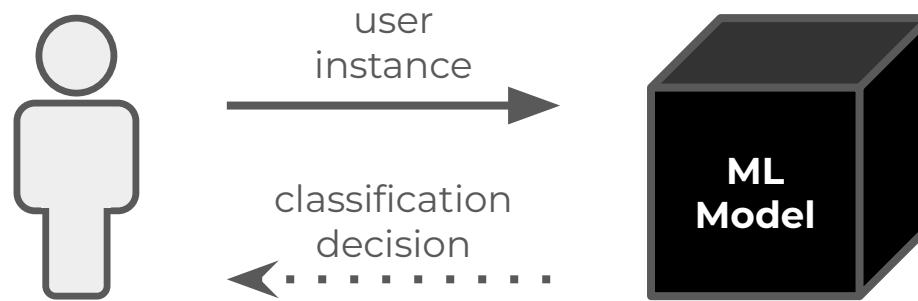
# Example Scenario



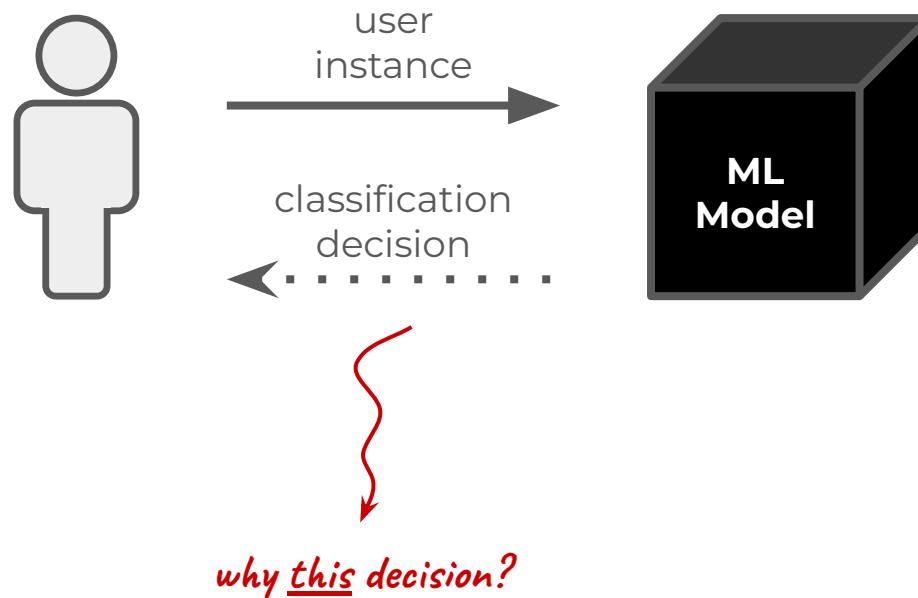
# Example Scenario



# Example Scenario

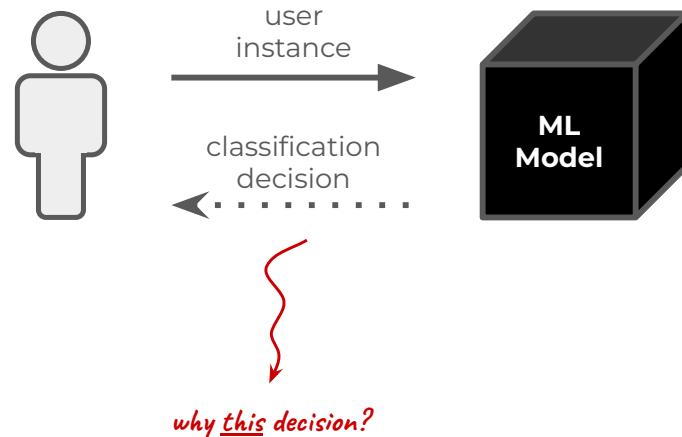


# Example Scenario



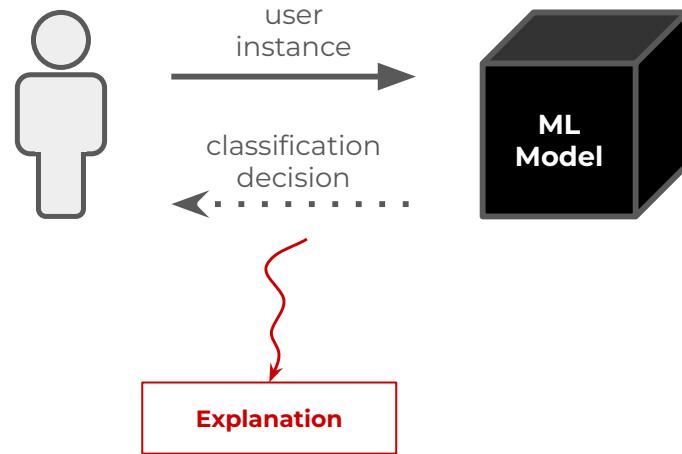
# Motivation

- How to explain a Machine Learning classification to a non-expert user?



# Motivation

- How to explain a Machine Learning classification to a non-expert user?
  - **Explainable AI (XAI) can help**



# Problems

It is hard to **use** XAI methods

# Problems

It is hard to **use** XAI methods

- Command Line
- Git
- Programming
- Python
- PyTorch / Tensor Flow
- Machine Learning
- ...

# Problems

It is hard to **present** Explanations

# Problems

It is hard to **present** Explanations

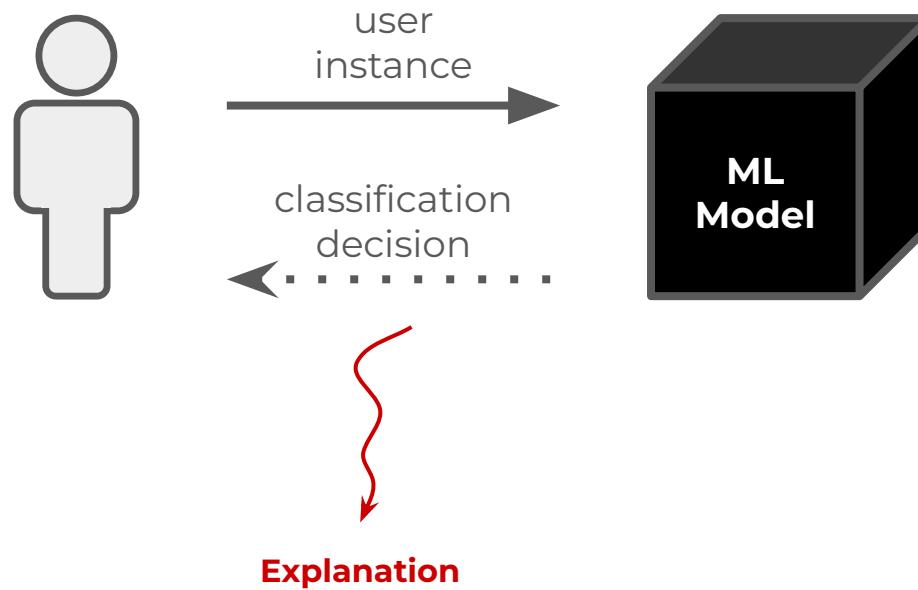
- List of numbers
- Tables
- Spreadsheets
- Scrollers
- ...

# Problems

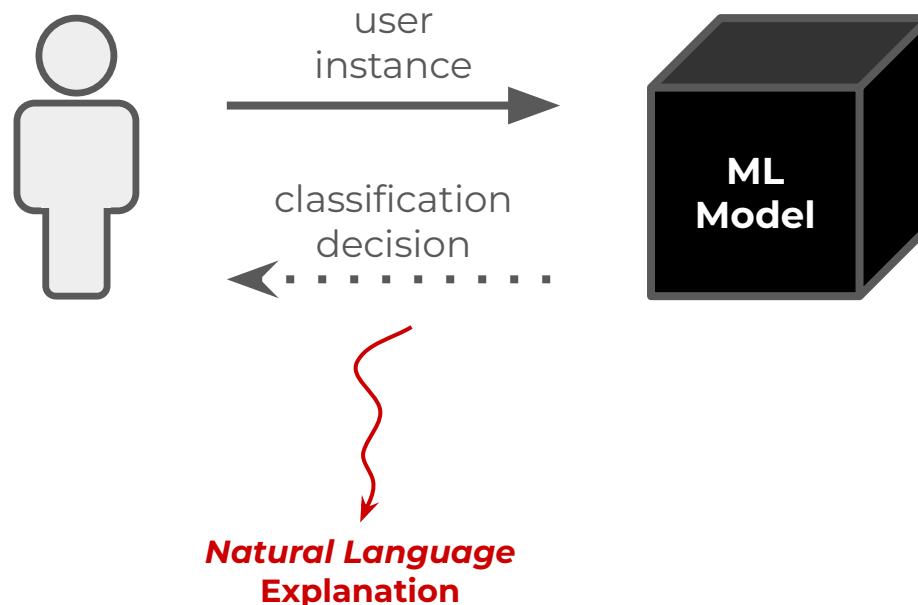
Our objective is to remove these barriers



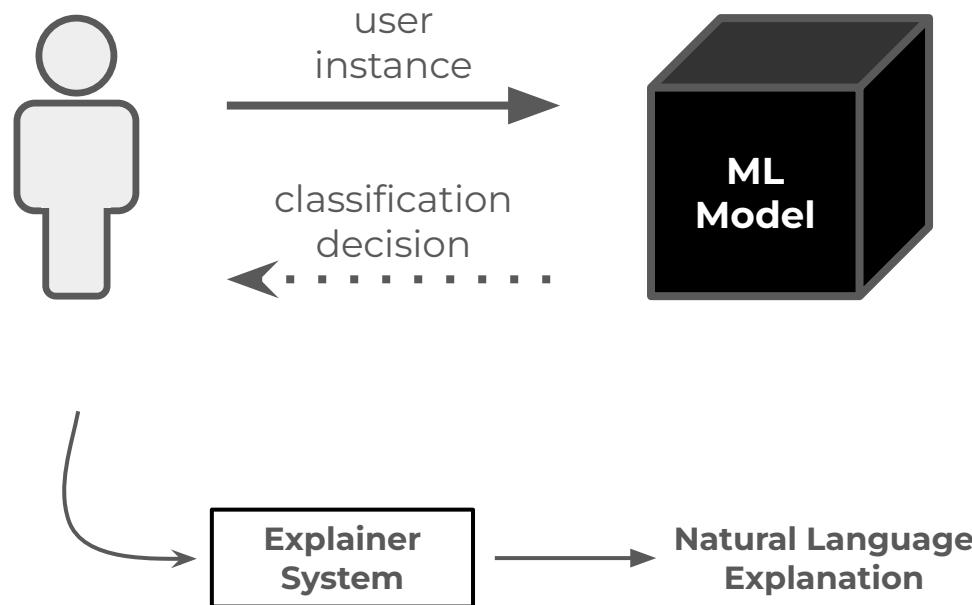
# Example Scenario



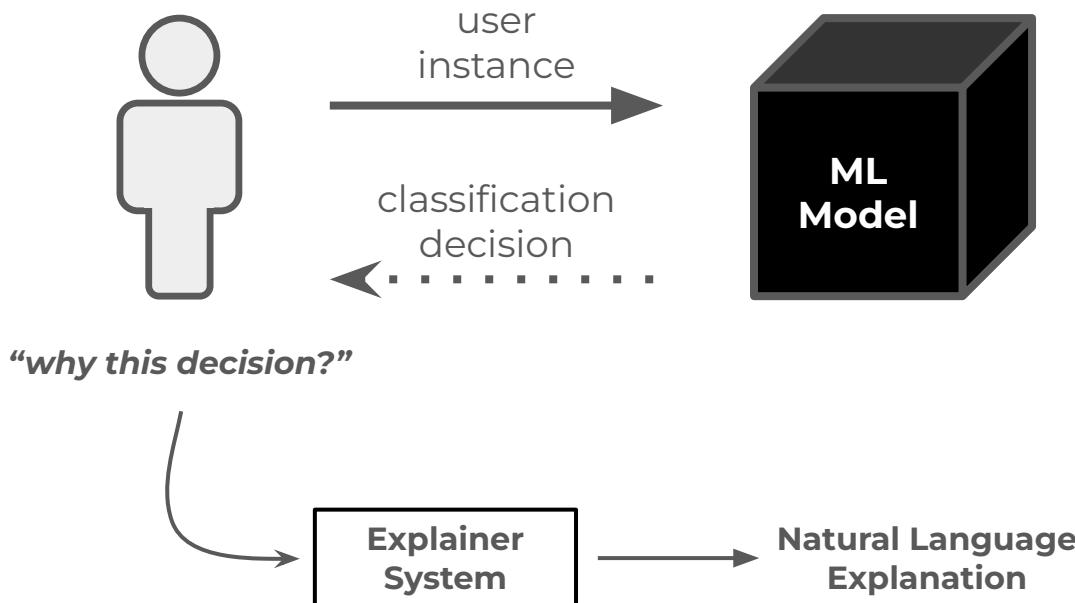
# Example Scenario



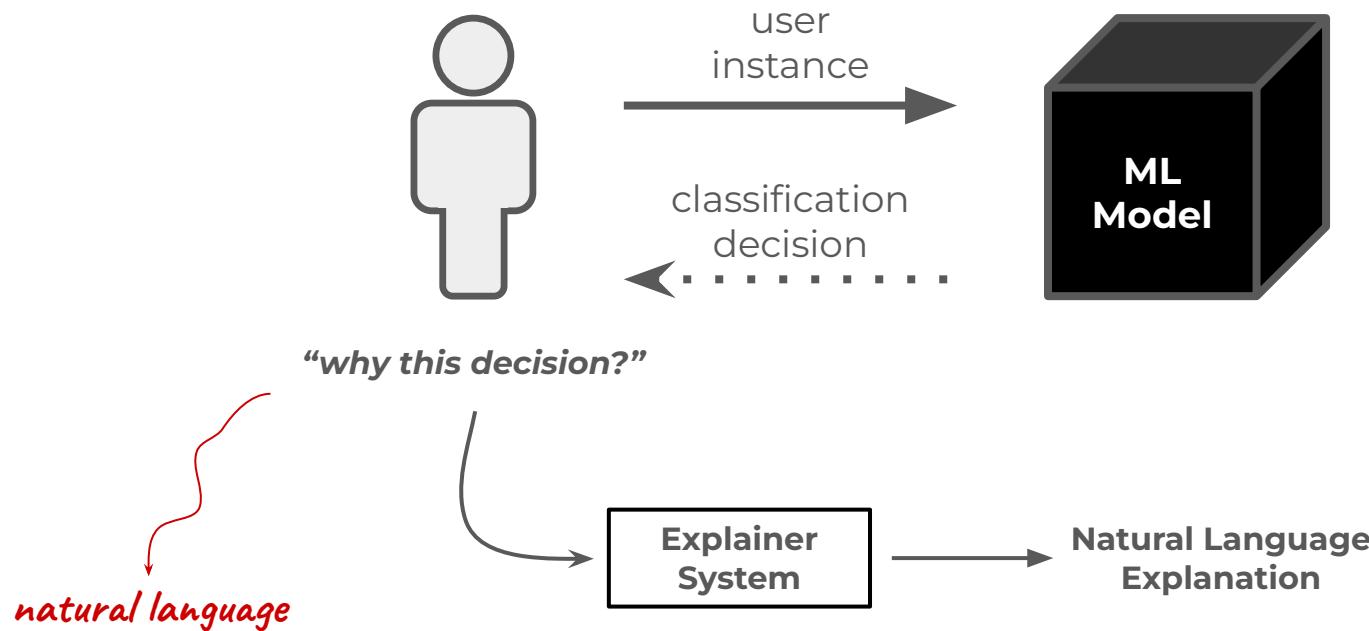
# Example Scenario



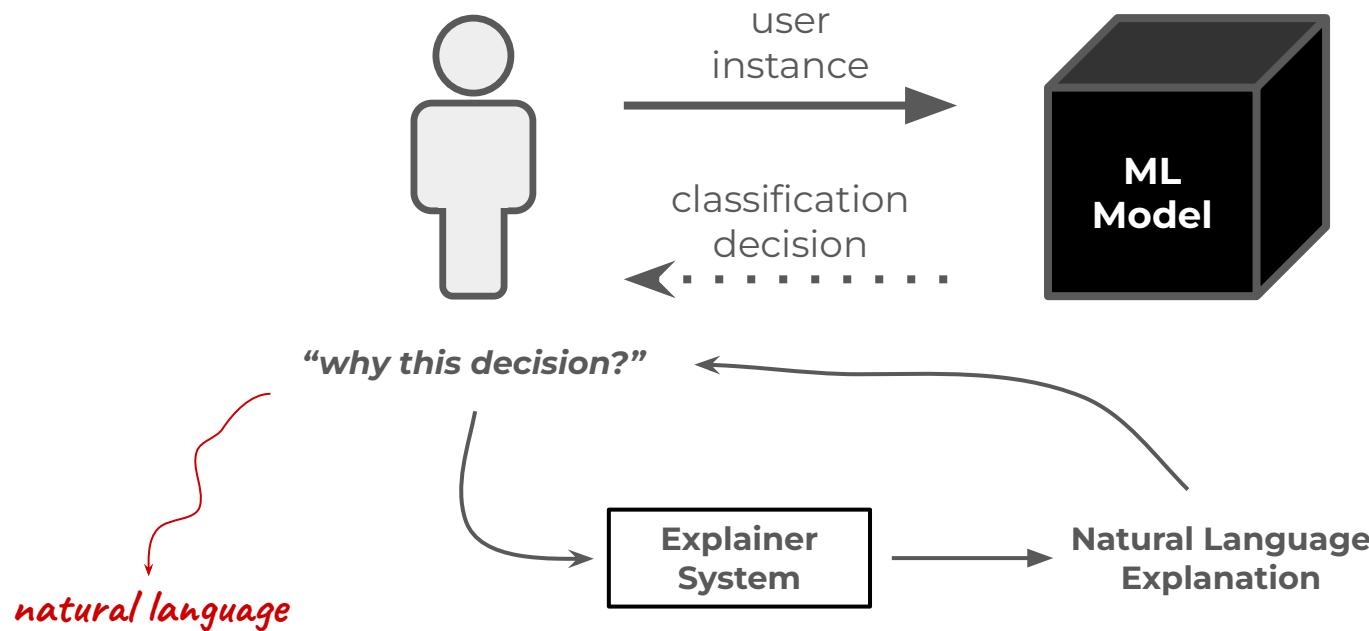
# Example Scenario



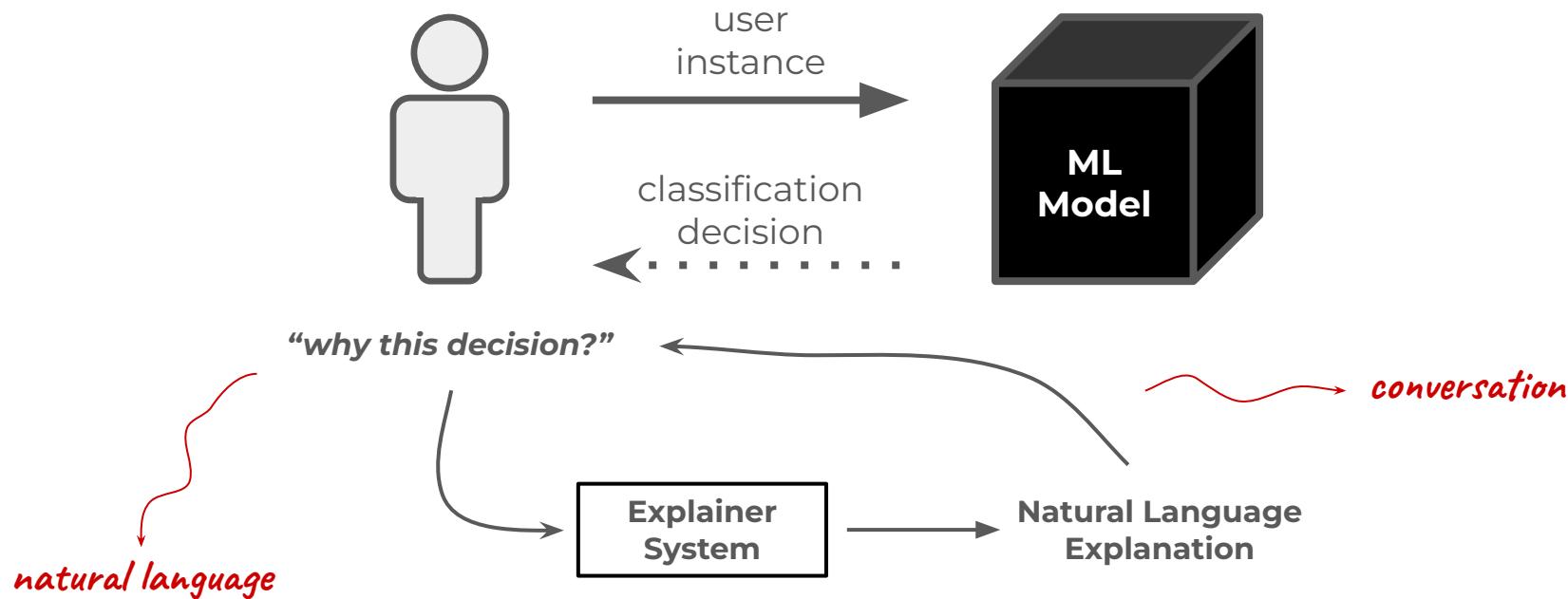
# Example Scenario



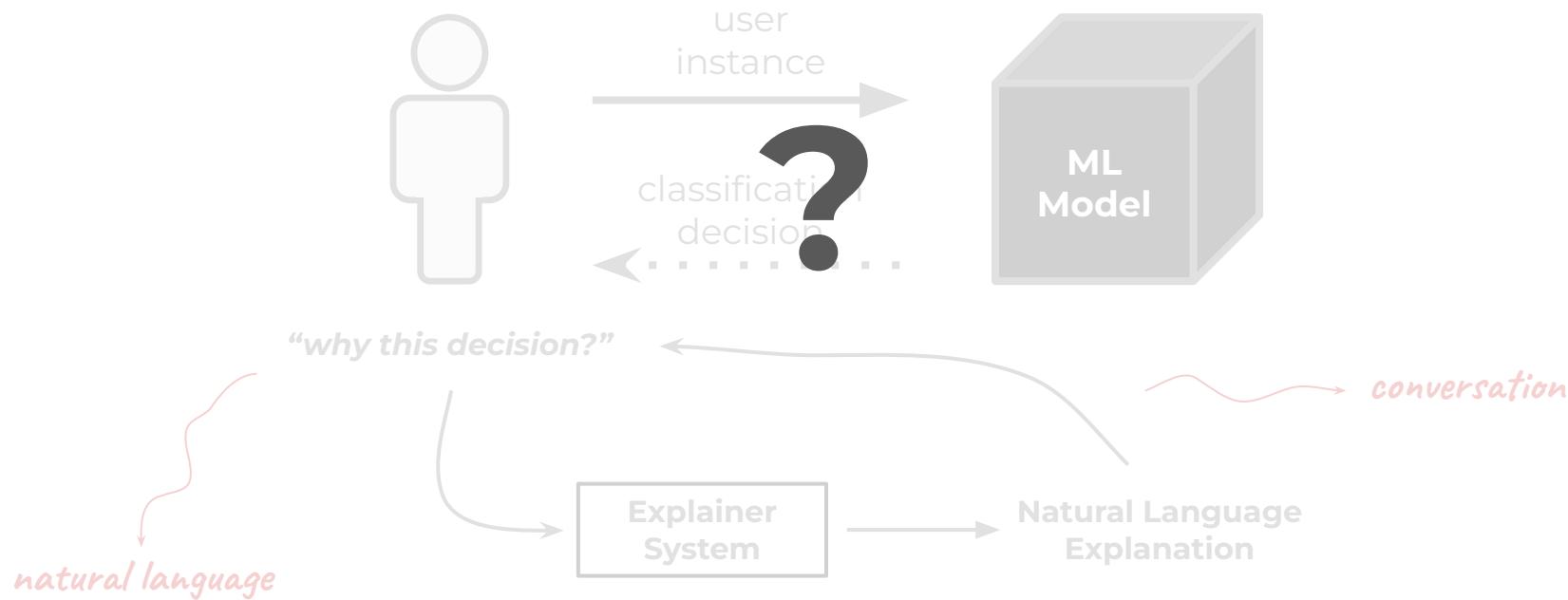
# Example Scenario



# Example Scenario



# Example Scenario



## Related Work

## Generación Automática de Explicaciones en Lenguaje Natural para Árboles de Decisión de Clasificación

B. López-Trigo, José M. Alonso, A. Bugarín  
Centro Singular de Investigación en Tecnologías de la Información (CITIUS),  
Universidad de Santiago de Compostela,  
Campus Vida, E-15782, Santiago de Compostela, Spain  
Email: bruno.lopez-trigo@rae.usc.es, {joesmaria.alonso, alberto.bugarin.diz}@usc.es

**Resumen**—En este trabajo describimos un modelo de explicaciones en lenguaje natural para árboles de decisión para clasificación. Las explicaciones incluyen aspectos globales del clasificador y aspectos locales de una instancia concreta. La propuesta está implementada en el servicio Web de código abierto ExplainIcas [1], que en su versión actual opera sobre árboles construidos con Weka y conjuntos de datos con atributos numéricos. Ilustramos la viabilidad de la propuesta con dos casos de ejemplo, donde mostramos paso a paso cómo el modelo explica los respectivos árboles de clasificación.

**Index Terms**—Explicabilidad, Sist. de Comput. Natural, Árboles de decisión para Clasificación, Generación de Lenguaje Natural

## I. INTRODUCCIÓN

La generalización del uso de las nuevas tecnologías ha hecho que hoy trabajemos y vivamos rodeados de sistemas inteligentes [2]. Términos como ciudad inteligente, fábrica, casa, coche o teléfono inteligentes, son cada vez más populares. En realidad, existen multitud de dispositivos dotados de cierta inteligencia que nos asisten en el día a día, muchas veces sin que sea necesario tener conocimientos de ellos. Una de las más conocidas es el teléfono móvil, que es el mejor ejemplo de aplicación casi para cualquier cosa que podamos imaginar y en el pasado vivimos una revolución tecnológica, ahora estamos viviendo una revolución social impulsada por la Inteligencia Artificial (IA).

Con un sistema inteligente tomas decisiones que nos afectan (ej: filtradas, diagnósticos, permisos, concesiones de un préstamo, etc.) sin singular multitud de preguntas que debemos hacer (ej: ¿qué es el resultado de las consecuencias colaterales que podrían derivarse de las decisiones tomadas?, ¿cuáles son las consecuencias éticas?, ¿qué puede haber consecuencias legales?).

Desde el punto de vista legal, el Parlamento Europeo aprobó una nueva Regulación General de Protección de Datos [4] que entró en vigor el 25 de mayo de 2018. La nueva regulación establece, entre otras cosas, la obligación de pedir explícitamente y de forma inequívoca que las decisiones se basen en datos tomados por uno mismo o un programa informático. Esto significa que los resultados no deben basarse en las entradas que

operario asociado al sistema inteligente, si está disponible para ello [8]. Aunque hay sistemas basados en conocimientos que son interpretables, los últimos años son casi vez cada vez más complejos y de la parte de los sistemas de la industria de datos, supervisados y no supervisados (es decir, con o sin intervención humana y versátiles, pero la mayoría no se ha demostrado interpretabilidad). Estos sistemas se están interpretando fácilmente y rápidamente, pero la mayoría no tienen capacidad explicativa ni tampoco cuando se interpretan por personas (en cuyo caso se puede interpretar como sistema de caja negra).

Por tanto, el elemento principal legal demanda que los expertos en sistemas desarrollen algoritmos que proporcionen explicaciones de forma automática.

En este trabajo presentamos un modelo para la interpretación

# Related Work

## Generación Automática de Explicaciones en Lenguaje Natural para Árboles de Decisión de Clasificación

B. López-Trigo, José M. Alonso, A. Bugarín  
Centro Singular de Investigación en Tecnologías de la Información (CITIUS),  
Universidad de Santiago de Compostela,  
Campus Vida, E-15782, Santiago de Compostela, Spain  
Email: bruno.lopez-trigo@us.es, [josemara.alonso.moral, alejandro.bugarin.dz]@usc.es

**Resumen**—En este trabajo describimos un modelo de explicación automática en lenguaje natural para árboles de clasificación. Las explicaciones incluyen aspectos globales del modelo y se basan en la ejecución de un sistema de predicción concreta. La propuesta está implementada en el sistema Web de clasificación de decisiones de la Escuela de Negocios de la Universidad de Santiago de Compostela. Los resultados muestran que las explicaciones generadas cumplen con los criterios establecidos para la generación de explicaciones en lenguaje natural. Los resultados también demuestran que el modelo explique las respuestas de los usuarios de forma más intuitiva y comprensible.

**Indicación**—Este trabajo es una contribución a la investigación de la generación de explicaciones en lenguaje natural para la clasificación de datos.

La generalización del uso de las nuevas tecnologías en la sociedad que hoy trabajamos y vivimos nos ha llevado a un mundo en el que las cosas inteligentes, de forma física, coche o teléfonos inteligentes, son cada vez más populares. Los sistemas de predicción y las decisiones basadas en la inteligencia artificial están siendo desplegados en casi todos los aspectos de la vida cotidiana. De acuerdo con el informe de la industria de sistemas inteligentes publicado en 2018 [5], ¿quién una máquina inteligente aprendió de forma autónoma a detectar y prever ataques ciberneticos? La industria actual de sistemas inteligentes para dar explicaciones de forma clara, sin ambigüedades, tanto a públicos especializados como a la población general, es una de las principales demandas de sistemas inteligentes expuestas entre 2017 y 2021. En el informe se han hecho iniciales sugerencias, con énfasis en la creación de equipos multidisciplinares que aborden no sólo aspectos técnicos, sino también las implicaciones éticas y legales de las personas. Los equipos seleccionados empezaron a trabajar en mayo de 2017 pero a día de hoy sólo hemos encuestado a 10 de los 15 equipos seleccionados.

Hasta donde nosotros sabemos, en la práctica, la responsabilidad de generar explicaciones recae directamente en el proveedor de servicios de predicción. La responsabilidad de detectar y filtrar llamadas, diagnósticos médicos, conocimiento de precios, etc., surge naturalmente de la propia responsabilidad del proveedor. ¿Pero qué pasa con las explicaciones que generan sistemas que no tienen la responsabilidad de las consecuencias éticas? ¿Puede haber consecuencias legales?

Desde el punto de vista legal, el informe menciona que probablemente se habrá de establecer una legislación en el tema de la responsabilidad de los ciudadanos a pedir explicaciones. Los ciudadanos tienen la responsabilidad de pedir explicaciones de forma automática. Esto significa que los ciudadanos pueden pedir a las empresas que

no afecten a sus datos personales sin tener que dar explicaciones para ello [8]. Aunque hay sistemas basados en conocimiento que son interactivos, en los últimos años se han visto más sistemas basados en la ejecución de un sistema de predicción y sistemas de datos, supervisados y no supervisados (es decir, sistemas que no tienen la responsabilidad de las consecuencias legales).

Por tanto, el nuevo marco legal demanda que los expertos en sistemas de predicción creen sistemas que proyecten explicaciones de forma automática.

En este trabajo, presentamos un modelo para la intere-

**López-Trigo, Alonso and Bugarín (2018)**  
Generación Automática de Explicaciones en Lenguaje Natural para Árboles de Decisión de Clasificación

## Explaining Tree Model Decisions in Natural Language for Network Intrusion Detection

Noah Ziems, Gang Liu, John Flanagan, Meng Jiang  
University of Notre Dame  
(nzziems2, gliu7, flanagan5, mjjiang2)@nd.edu

### Abstract

Network intrusion detection (NID) systems which leverage machine learning have recently shown strong performance in predicting and detecting malicious network traffic. Decision trees in particular offer a strong balance between simplicity and interpretability. However, they are often considered difficult to interpret due to the lack of explicit background knowledge in machine learning to interpret. In addition, they are unable to provide additional outside information as to why certain features may be important.

In this work, we explore the use of large language models (LLMs) to provide explanations and additional background knowledge for decision tree NID systems. Furthermore, we propose a novel approach to generate natural language explanations, which leverages automatically generated quiz questions that measure human evaluators' understanding of decision tree inference. Finally, we show LLMs can generate natural language explanations that are easily understood by human raters and can be generated quickly with human ratings of readability, quality, and use of background knowledge while simultaneously providing better understanding of decision boundaries.

### 1 Introduction

Network intrusion detection (NID) systems monitor incoming and outgoing network traffic to detect potentially malicious activity. When suspicion traffic is seen, a system administrator is alerted and investigated. Early NID systems gained popularity by analyzing traffic with simple rule-based approaches [1]. However, over time new types of attacks such as malware, phishing, man-in-the-middle, scan attacks, and distributed denial of service (DDoS) attacks have significantly increased the attack surface of networks. As the complexity of attacks increases so does the complexity of detecting them using rule-based methods alone. In response, machine learning (ML) and deep learning (DL) have become the leading techniques for analyzing network traffic from large amounts of real data begin to emerge [2]. However, due to their black-box nature, understanding why and how a model comes to a particular conclusion remains a significant challenge. In this work, we propose a novel approach to explain decision trees offer a strong balance between simplicity and performance, they still require a user familiar with their underlying mechanics to interpret why a particular prediction was made. Efforts to assist in explaining decision trees have focused on methods such as LIME [3] and SHAP [4] as well as quantifying the importance of features for a given prediction [3-5]. How one can create decision tree explanations for ML systems that can be easily understood by users with no background knowledge in machine learning and natural language processing. Further, how to measure the quality of these explanations also remains an open question.

The recent success of large language models (LLMs) has enabled high quality open-ended text generation for a wide variety of tasks such as long form question answering, summarization, arithmetic reasoning, and more. In this work, we explore whether large language models can

## Exploring Large Language Models Capabilities to Explain Decision Trees

Paolo BONO SERAFIM<sup>1,2</sup>, Pierluigi CRESCENTI<sup>3</sup>, Gienni GEZICI<sup>4</sup>,  
Eduardo CAPPUCCIO<sup>1,2</sup>, Salvatore RINZIVILLO<sup>1</sup> and Fosca GIANNOTTI<sup>5</sup>

<sup>1</sup>Scuola Normale Superiore di Pisa

<sup>2</sup>Istituto di Scienze e Tecnologie dell'Informazione, CNR

**Abstract.** Decision trees are widely adopted in Machine Learning tools to their operation simplicity and interpretability aspects. However, following the decision tree's black-box nature, understanding why and how a model comes to a particular conclusion remains a significant challenge. In this work, we propose a novel approach to generate natural language explanations, which leverages automatically generated quiz questions that measure human evaluators' understanding of decision tree inference. Finally, we show LLMs can generate natural language explanations that are easily understood by human raters and can be generated quickly with human ratings of readability, quality, and use of background knowledge while simultaneously providing better understanding of decision boundaries.

**Keywords.** Explainable AI, decision tree, natural language generation

### 1. Introduction

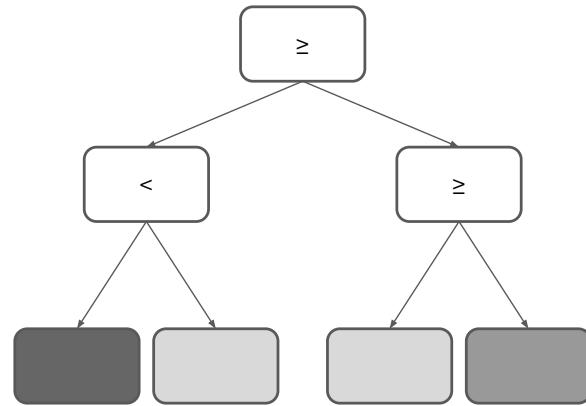
The high complexity of novel Machine Learning (ML) models is an important factor in the popularity of these models. Machine learning models have been able to achieve the same level of performance. However, as these models grow, there have been growing concerns due to the opaque nature of widely used black-box algorithms. Among the problems that arise from the non-transparency are the trustworthiness, fairness, and accountability of these models [1]. In this context, the need for an approach to bridge the gap between the algorithmic models and the human-centered explanations is great.

Among key explanatory elements from disciplines such as social sciences and psychology, transparency has been described as a core value for the implementation of human-centered approaches to machine learning [2]. Transparency is a concept that has to be outlined as a continuous dialogue between a sender and a receiver, making the user engage in a conversation [3,4]. More recently, Large Language Models (LLM) have shown an exceptional ability to generate natural language responses [5]. As such, LLMs emerge

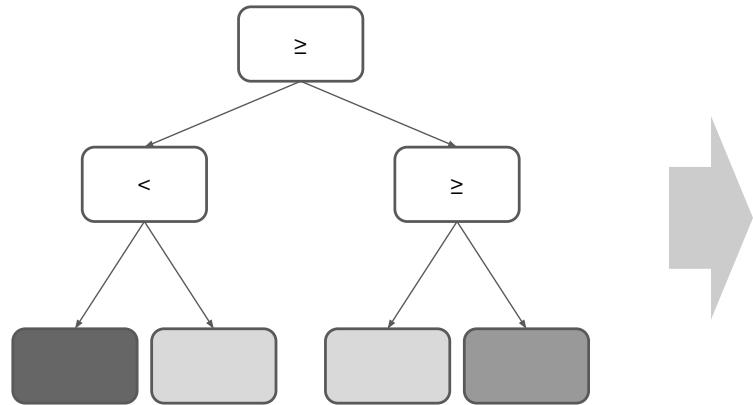
**Ziems, Liu, Flanagan and Jian (2023)**  
Explaining Tree Model Decisions in Natural Language for Network Intrusion Detection

**Serafim, Crescenzi, Gezici, Cappuccio, Rinzivillo, and Giannotti (2024)**  
Exploring Large Language Models Capabilities to Explain Decision Trees

# Decision Tree to NLE

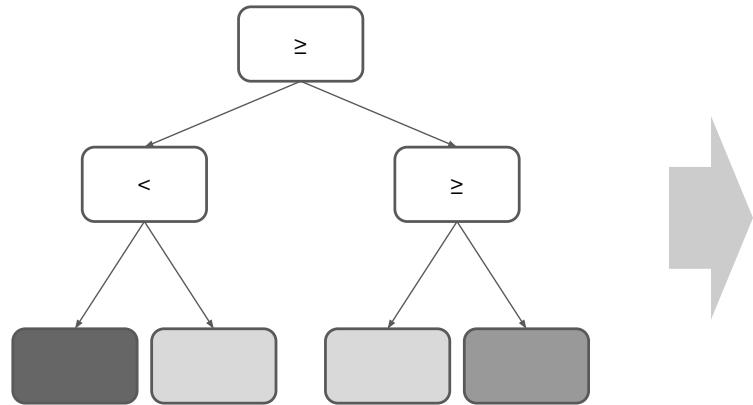


# Decision Tree to NLE



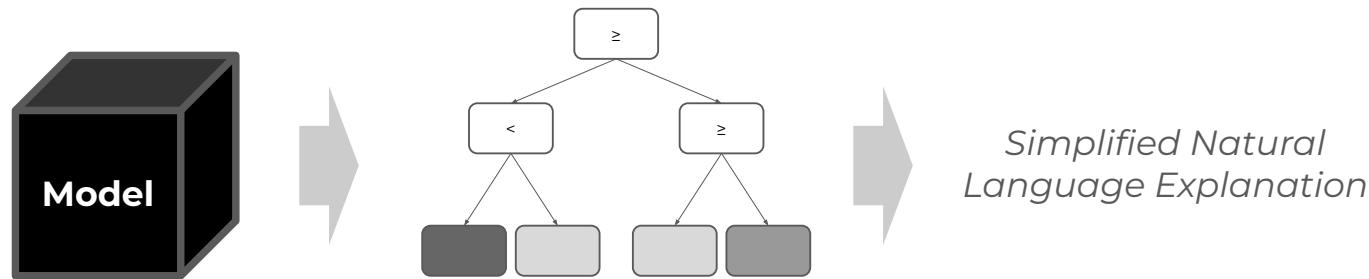
*Natural  
Language Explanation*

# Decision Tree to NLE

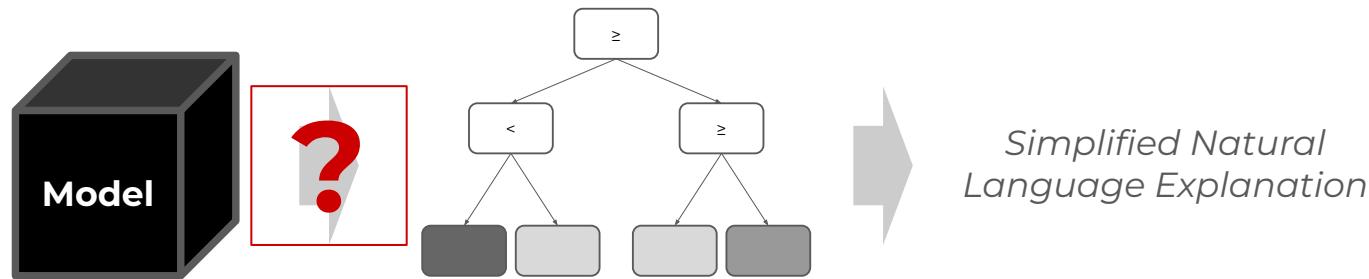


*Simplified Natural  
Language Explanation*

# Decision Tree to NLE



# Decision Tree to NLE



## Factual and Counterfactual Explanations for Black-Box Decision Making

Riccardo Guidotti, Anna Monreali, Fosca Giannotti, Dino Pedreschi, Salvatore Ruggieri, and Franco Turini

**Abstract**—The rise of sophisticated machine learning models has brought accurate but obscure decision systems, which are hard to interpret. This paper addresses the challenge of the adoption of AI in society-sensitive and safety-critical contexts. We introduce a local rule-based explanation method providing factual explanations and counterfactual explanations for a specific instance under investigation. The proposed method first learns an ensemble of decision trees from a dataset, and then, for a specific instance, it generates a genetic algorithm-based explanation consisting of a decision rule, explaining the factual reasons of the decision, and a set of counterfactuals, explaining the changes in the instance that lead to a different outcome. Experimental results show that the proposed method outperforms existing approaches in terms of the quality of the explanations and the accuracy in mimicking the black-box.

**Index Terms**—Explainable AI, Interpretable Machine Learning, Open the Black Box, Explanation Rules, Counterfactuals

**I. INTRODUCTION**  
Nowadays, are full of controversies about algorithms taking critical decisions that heavily impact on our life and society, from loan concessions in banks systems to pedestrian detection in self-driving cars. The main reason is the increasing the automation of decision making, but, mostly to the fact that the algorithms are opaque and their logic uninterpretable. The main challenge is to understand that is decision-making machines are generated often from data through machine learning (ML) ML allows through pattern recognition to make predictions and decisions obtained by generalizing from a dataset of examples. The process of deriving a classification model from examples, known as *learning*, is a complex process that requires a lot of time and the complexity of the learned model are too big for humans. The inability to obtain an explanation for a prediction is a problem that is becoming more and more important in the acceptance and trust in its adoption in many sensitive contexts.

In this paper we address the problem of explaining the decisions of black-box machine learning models, providing explanations of the logic involved when automated decision making takes place, as prescribed by the “right to explanation” of the European Union’s General Data Protection Regulation. We perform our research under some specific assumptions. First, we assume that an explanation is interesting if it clarifies

Riccardo Guidotti and Fosca Giannotti are with ISTI-CNR, Pisa, Italy. Anna Monreali, Dino Pedreschi, Salvatore Ruggieri and Franco Turini are with University of Pisa, Italy. e-mail: francesca.lamantia@isti.cnr.it. Manuscript received August 1, 2019; revised May 1, 2020.

**Guidotti, Monreali, Giannotti, Pedreschi, Ruggieri, and Turini (2019)**  
Factual and Counterfactual Explanations for Black-Box Decision Making

Data Mining and Knowledge Discovery  
<https://doi.org/10.1007/s10618-022-00878-5>



### Stable and actionable explanations of black-box models through factual and counterfactual rules

Riccardo Guidotti<sup>1</sup> Anna Monreali<sup>1</sup> Salvatore Ruggieri<sup>1</sup>  
Francesca Naretto<sup>2</sup> Franco Turini<sup>1</sup> Dino Pedreschi<sup>1</sup> Fosca Giannotti<sup>2</sup>

Received: 28 March 2021 / Accepted: 9 September 2022  
© The Author(s) 2022

#### Abstract

Recent years have witnessed the rise of accurate but obscure classification models that hide the logic of their internal decision processes. Explaining the decision taken by a black-box classifier on a specific input instance is therefore of striking interest. We propose a local rule-based model-agnostic explanation method providing stable and actionable explanations. An explanation consists of a factual logic rule, stating the reasons for the black-box decision, and a set of actionable counterfactual logic rules, proactively suggesting the changes in the instance that lead to a different outcome. Explanations are computed from a decision tree that mimics the behavior of the black-box locally to the instance to explain. The decision tree is obtained through a bagging-like approach that favors stability and fidelity: first, an ensemble of decision trees is learned from neighborhoods of the instance under investigation; then, the ensemble is merged into a single decision tree. Neighbor instances are synthetically generated through a genetic algorithm whose fitness function is driven by the black-box behavior. Experiments show that the proposed method advances the state-of-the-art towards a comprehensive approach that successfully covers stability and actionability of factual and counterfactual explanations.

**Keywords** Explainable AI - Local explanations - Model-agnostic explanations - Rule-based explanations - Counterfactuals

**Guidotti, Monreali, Ruggieri, Naretto, Turini, Pedreschi, and Giannotti (2022)**

Stable and actionable explanations of black-box models through factual and counterfactual rules

### Algorithm 1 Explainer agent process

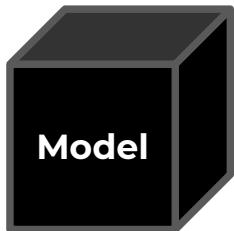
**Input:**  $x \leftarrow$  instance,  $\bar{y} \leftarrow$  target classification,

$b \leftarrow$  black-box classifier,  $D \leftarrow$  dataset

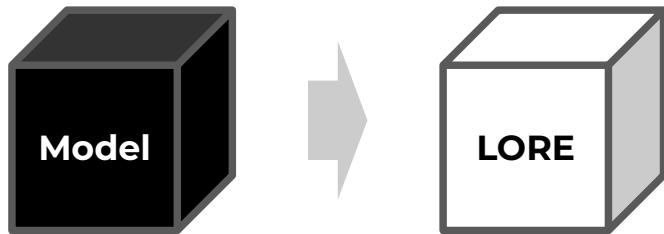
**Output:**  $i \leftarrow$  explanation data

- 1:  $y \leftarrow b(x);$
- 2: **assert**  $y = \bar{y};$
- 3:  $LORE_{sa} = LORE_{exp}(b, D);$
- 4:  $e = \langle c, r, \Phi \rangle \leftarrow LORE_{sa}(x, b);$
- 5:  $ct \leftarrow tezt(c);$
- 6:  $i = \langle \text{feature names, target values, confidence, } c_t, x, r, \Phi, \bar{y} \rangle$
- 7: **return**  $i$

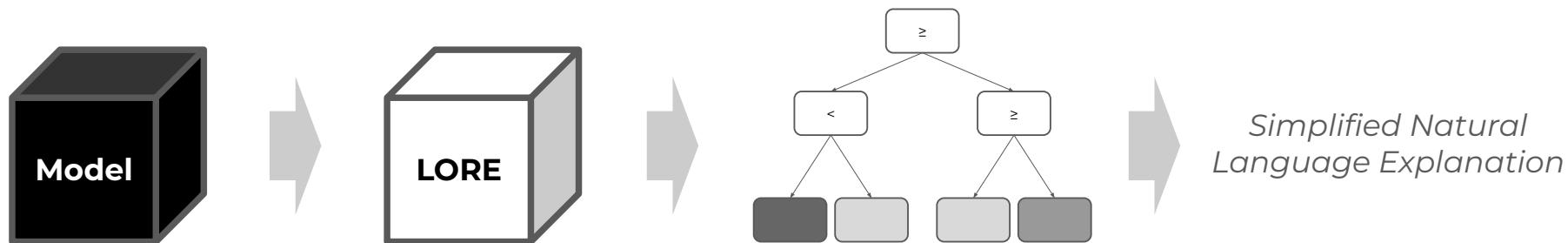
# Decision Tree to NLE



# Decision Tree to NLE



# Decision Tree to NLE



# Goal

*Develop a **conversational interface** to provide **simplified explanations** for **non-expert** users*

# Goal

*Develop a conversational interface to provide simplified explanations for non-expert users*

- Internally, an explanation is generated from a Decision Tree built from the classifier using LORE

# Goal

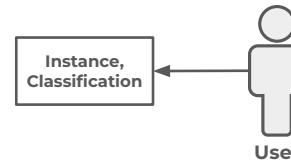
*Develop a conversational interface to provide simplified explanations for non-expert users*

- Internally, an explanation is generated from a Decision Tree built from the classifier using LORE
- The generation process is distributed among **multiple specialized agents**

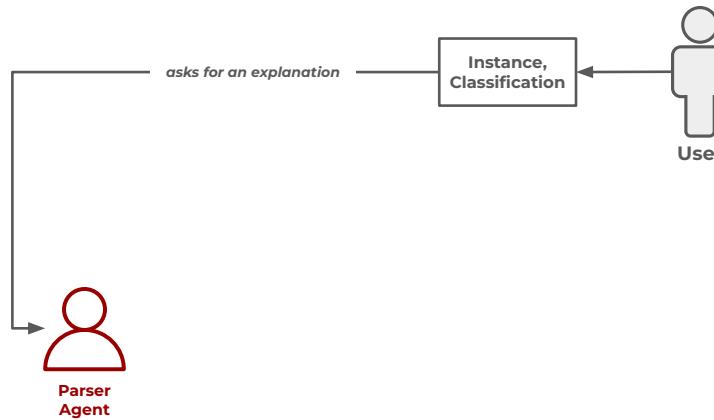
# MAINLE's Simplified Architecture



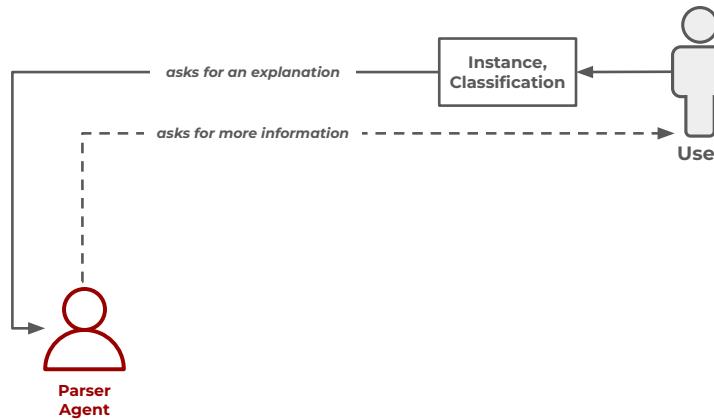
# MAINLE's Simplified Architecture



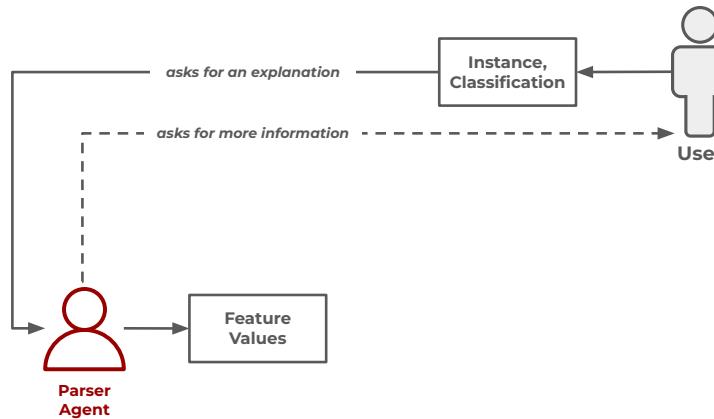
# MAINLE's Simplified Architecture



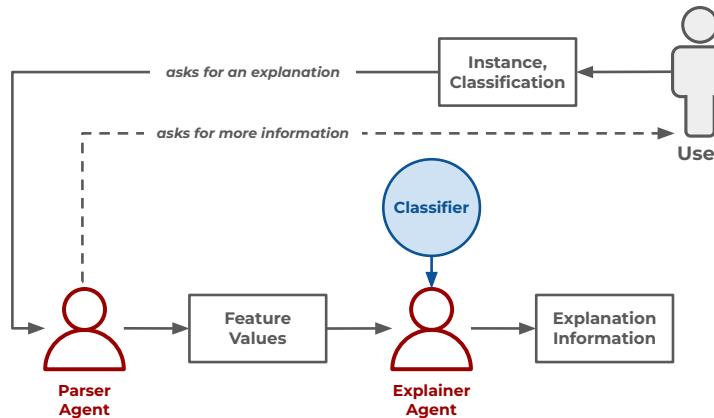
# MAINLE's Simplified Architecture



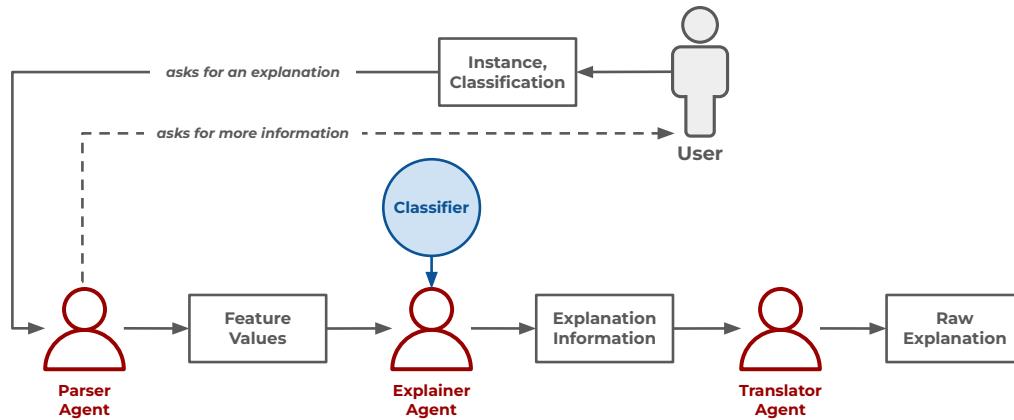
# MAINLE's Simplified Architecture



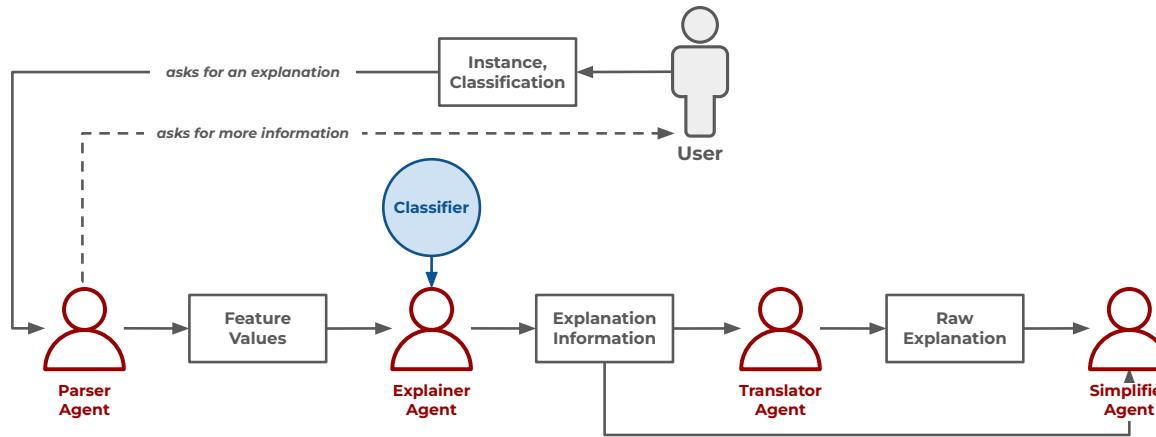
# MAINLE's Simplified Architecture



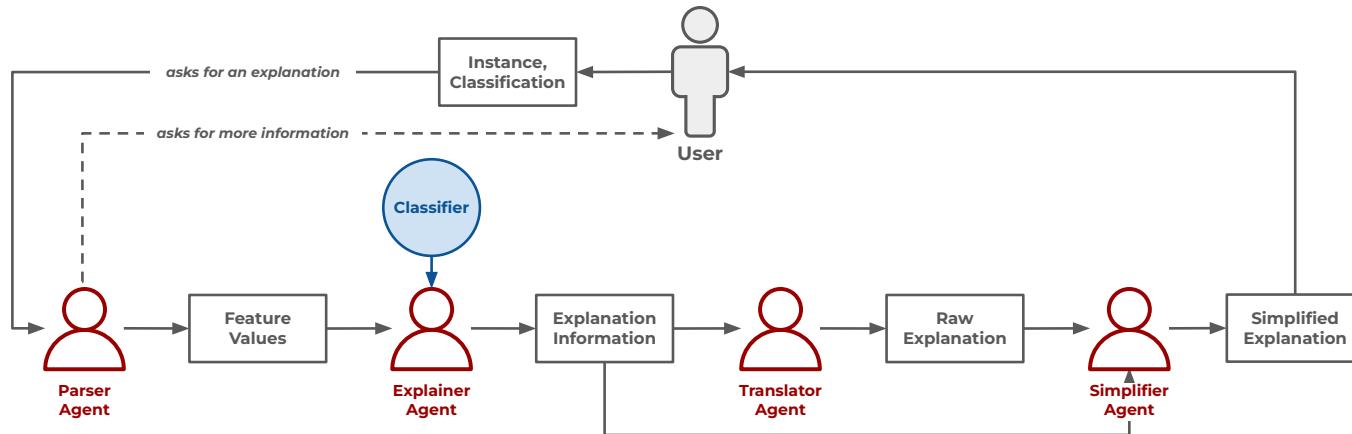
# MAINLE's Simplified Architecture



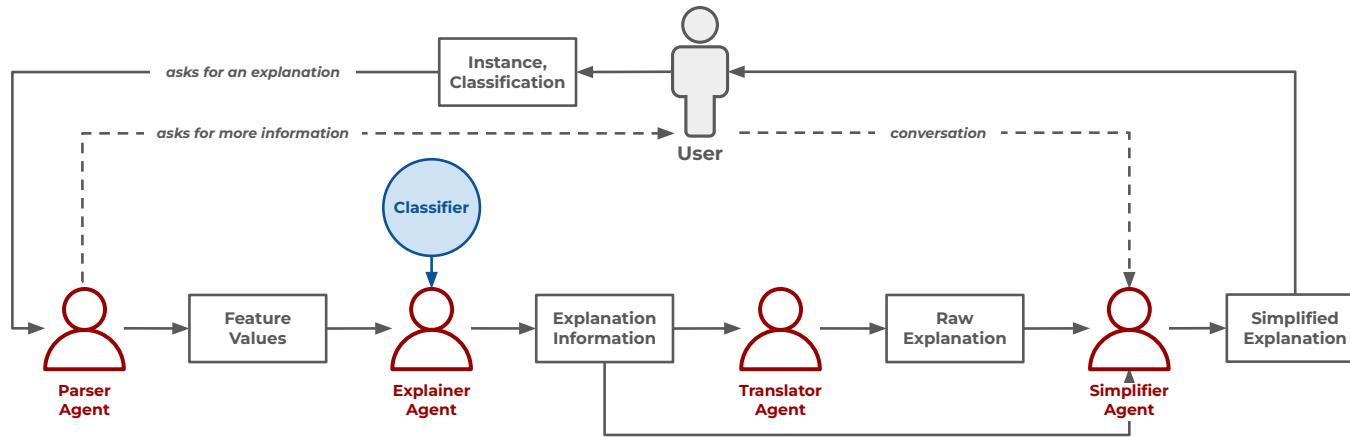
# MAINLE's Simplified Architecture



# MAINLE's Simplified Architecture

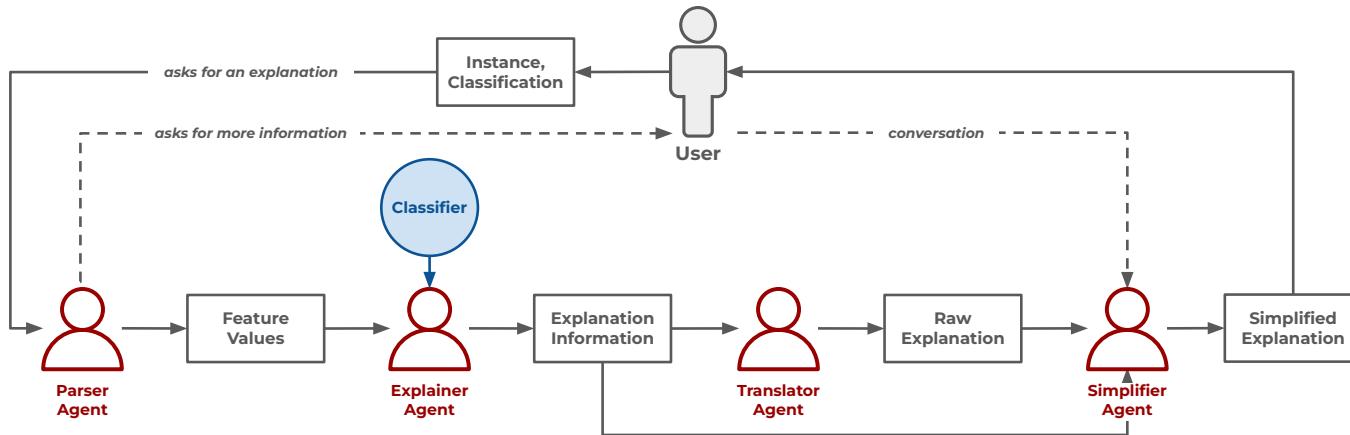


# MAINLE's Simplified Architecture



# MAINLE

a Multi-Agent architecture, interactive, that provides Natural Language Local Explanations of classification outcomes



# Example



user

Hello, please explain the classification of a flower that has sepal length of 7.3, sepal width of 2.9, petal length of 6.3, and petal width of 1.8

# Example



**user**

Hello, please explain the classification of a flower that has sepal length of 7.3, sepal width of 2.9, petal length of 6.3, and petal width of 1.8



**assistant**

gpt-4o-2024-08-06

I see that you have provided the following information:

- Sepal length: 7.3
- Sepal width: 2.9
- Petal length: 6.3
- Petal width: 1.8

However, I still need the classification of the flower, specifically the class (setosa, versicolor, or virginica). Could you please provide the class for the flower? Thank you!

# Example



**user**

Hello, please explain the classification of a flower that has sepal length of 7.3, sepal width of 2.9, petal length of 6.3, and petal width of 1.8



**assistant**

gpt-4o-2024-08-06

I see that you have provided the following information:

- Sepal length: 7.3
- Sepal width: 2.9
- Petal length: 6.3
- Petal width: 1.8

However, I still need the classification of the flower, specifically the class (setosa, versicolor, or virginica). Could you please provide the class for the flower? Thank you!



**user**

The class is virginica

# Example

 **user**  
Hello, please explain the classification of a flower that has sepal length of 7.3, sepal width of 2.9, petal length of 6.3, and petal width of 1.8

 **assistant**  
gpt-4o-2024-08-06  
I see that you have provided the following information:  

- Sepal length: 7.3
- Sepal width: 2.9
- Petal length: 6.3
- Petal width: 1.8

However, I still need the classification of the flower, specifically the class (setosa, versicolor, or virginica). Could you please provide the class for the flower? Thank you!

 **user**  
The class is virginica

 **assistant**  
gpt-4o-2024-08-06  
The classifier identified the example as belonging to the 'virginica' class with a confidence level of approximately 81.78%. This conclusion was based on evaluating the features of your instance.  
Here's a simplified explanation of why it was classified this way:

1. The sepal width is relatively high, indicating that it falls above a certain threshold.
2. The petal length is also high, reinforcing the previous point.
3. The sepal length is greater than a specific value, further supporting the classification.
4. Lastly, the petal width is above the necessary level, which aligns with the characteristics typical of the 'virginica' class.

Overall, these feature values closely matched the conditions required for an instance to be classified as 'virginica', leading the model to make this prediction with a strong level of certainty.

# Example



assistant  
gpt-4o-2024-08-06

The classifier identified the example as belonging to the 'virginica' class with a confidence level of approximately 81.78%. This conclusion was based on evaluating the features of your instance.

Here's a simplified explanation of why it was classified this way:

1. The sepal width is relatively high, indicating that it falls above a certain threshold.
2. The petal length is also high, reinforcing the previous point.
3. The sepal length is greater than a specific value, further supporting the classification.
4. Lastly, the petal width is above the necessary level, which aligns with the characteristics typical of the 'virginica' class.

Overall, these feature values closely matched the conditions required for an instance to be classified as 'virginica', leading the model to make this prediction with a strong level of certainty.

# Example



**assistant**  
gpt-4o-2024-08-06

The classifier identified the example as belonging to the 'virginica' class with a confidence level of approximately 81.78%. This conclusion was based on evaluating the features of your instance.

Here's a simplified explanation of why it was classified this way:

1. The sepal width is relatively high, indicating that it falls above a certain threshold.
2. The petal length is also high, reinforcing the previous point.
3. The sepal length is greater than a specific value, further supporting the classification.
4. Lastly, the petal width is above the necessary level, which aligns with the characteristics typical of the 'virginica' class.

Overall, these feature values closely matched the conditions required for an instance to be classified as 'virginica', leading the model to make this prediction with a strong level of certainty.



**user**

If the sepal width was low, what would be the classification?

# Example



**assistant**  
gpt-40-2024-08-06

The classifier identified the example as belonging to the 'virginica' class with a confidence level of approximately 81.78%. This conclusion was based on evaluating the features of your instance.

Here's a simplified explanation of why it was classified this way:

1. The sepal width is relatively high, indicating that it falls above a certain threshold.
2. The petal length is also high, reinforcing the previous point.
3. The sepal length is greater than a specific value, further supporting the classification.
4. Lastly, the petal width is above the necessary level, which aligns with the characteristics typical of the 'virginica' class.

Overall, these feature values closely matched the conditions required for an instance to be classified as 'virginica', leading the model to make this prediction with a strong level of certainty.



**user**

If the sepal width was low, what would be the classification?



**assistant**  
gpt-40-2024-08-06

If the sepal width was low, that would likely lead to a different classification. More specifically, if the sepal width were below or equal to a certain threshold, it could change the outcome altogether.

In this case, the premises that would support a different classification would include:

- A low sepal width (below that specific threshold) would not support the conditions for 'virginica'.

Since the current classification of your instance relies on the relatively high sepal width, altering this feature to be low could lead to a classification of either 'versicolor' or 'setosa' depending on the other values of the instance.

To sum it up, a low sepal width would disrupt the conditions that led to the classification of 'virginica' and instead shift it towards one of the other classes.

# Example



**assistant**  
gpt-4-2024-08-06

The classifier identified the example as belonging to the 'virginica' class with a confidence level of approximately 81.7%. This conclusion was based on evaluating the features of your instance.

Here's a simplified explanation of why it was classified this way:

1. The sepal width is relatively high, indicating that it falls above a certain threshold.
2. The petal length is also high, reinforcing the previous point.
3. The sepal length is greater than a specific value, further supporting the classification.
4. Lastly, the petal width is above the necessary level, which aligns with the characteristics typical of the 'virginica' class.

Overall, these feature values closely matched the conditions required for an instance to be classified as 'virginica', leading the model to make this prediction with a strong level of certainty.



**user**

If the sepal width was low, what would be the classification?



**assistant**  
gpt-4-2024-08-06

If the sepal width was low, that would likely lead to a different classification. More specifically, if the sepal width were below or equal to a certain threshold, it could change the outcome altogether.

In this case, the premises that would support a different classification would include:

- A low sepal width (below that specific threshold) would not support the conditions for 'virginica'. Since the current classification of your instance relies on the relatively high sepal width, altering this feature to be low could lead to a classification of either 'versicolor' or 'setosa' depending on the other values of the instance.

To sum it up, a low sepal width would disrupt the conditions that led to the classification of 'virginica' and instead shift it towards one of the other classes.



**user**

Thank you

# Validation

- User Study  
25 people

# Validation

- User Study

25 people

- Form with raw and simplified explanations

**Explanation Comparison**

Two different systems generated two different explanations of why a Machine Learning model made a decision. You will see the question followed by the two explanations. Then, we kindly ask you to rate each explanation according to four criteria, as detailed below.

\* Indica una pregunta obligatoria

**Question**

Hello, my credit request information is as following: a: 22.67, 0.750, u, g, v, 2.00, f, t, 2, t, g, 200, 394. Why my loan was rejected?

(N.B. the features are, respectively: Gender, Age, Debt, Marital status, Bank customer, Educational level, Ethnicity, Number of years employed, Prior default, Employment status, Credit score, Driver license, Citizenship, Zipcode, Income, class)

**First Explanation**

The decision tree you provided makes its class predictions based on the value of the 'Prior default' feature. Here's a breakdown of how the classification works in this case:

1. Understanding the Decision Tree:

- The decision tree has a simple rule based on the feature 'Prior default'.
- If 'Prior default' is less than or equal to 0.50 ("Prior default <= 0.50"), the instance is classified as class 1, which corresponds to "approved".
- If 'Prior default' is greater than 0.50 ("Prior default > 0.50"), the instance is classified as class 0, which corresponds to "rejected".

2. Features of the instance:

- In the case you provided, the instance has the feature 'Prior default = f' (where 'f' presumably represents some value).
- The decision tree will evaluate whether this value of 'Prior default' is less than or equal to 0.50 or greater than 0.50 to make the classification.

3. Classification Process:

- Since the instance has 'Prior default = f', you can consider the value of 'f':
  - If 'f' is greater than 0.50, it falls into the path that leads to "Rejected".
  - If 'f' is less than or equal to 0.50, it would lead to "Approved".
- Here, we are led to the conclusion that 'f' must be greater than 0.50 for the classifier to have concluded that the instance is "Rejected".

4. Confidence Level:

- The confidence level of 99.53% means that the decision tree is very confident about its prediction. This high confidence typically arises from the training data; it suggests that similar instances (with 'Prior default' values greater than 0.50) in the dataset were predominantly labeled as "Rejected".
- A such a high confidence indicates that the model has seen many examples in the training phase where 'Prior default' values like 'f' (greater than 0.50) correspond to "Rejected" cases.

In simpler terms:

- The classifier classified the instance as "Rejected" because the value of "Prior default" (which is "f") was determined to be high (greater than 0.50).
- It is very confident (99.53%) in this classification because it has learned from previous similar cases that this condition (high "Prior default") often leads to rejections in the data. Thus, when it sees a high "Prior default", it reliably predicts "Rejected".

**Criteria description**

Use the following criteria to rate First Explanation:

- Technical Jargon: you were able to follow the explanation easily and did not need previous knowledge of the internal procedures.
- Simplicity: the terms used were simple and easy to read.
- Completeness: you feel you understand the reasons why the decision was made and did not miss additional information.
- Conciseness: you feel that all information presented was necessary, there was no useless information in the explanation, and the explanation could not be shorter.

**Please rate the first explanation according to the four evaluation criteria.**

	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree
Technical Jargon	<input type="radio"/>				
Simplicity	<input type="radio"/>				
Completeness	<input type="radio"/>				
Conciseness	<input type="radio"/>				

**Second Explanation**

The classifier determined that the instance was "Rejected" based on the feature "Prior default", which was evaluated to be high (specifically, greater than 0.50). This led to the conclusion that the instance should be classified as rejected.

The classifier is very confident in this decision, with a confidence level of over 99%. This high confidence comes from its previous training on similar cases where instances with a high "Prior default" were frequently labeled as "Rejected". Therefore, when it encountered the current instance with a high value for "Prior default", it reliably predicted "Rejected".

**Criteria description**

Use the following criteria to rate Second Explanation:

- Technical Jargon: you were able to follow the explanation easily and did not need previous knowledge of the internal procedures.
- Simplicity: the terms used were simple and easy to read.
- Completeness: you feel you understand the reasons why the decision was made and did not miss additional information.
- Conciseness: you feel that all information presented was necessary, there was no useless information in the explanation, and the explanation could not be shorter.

**Please rate the second explanation according to the four evaluation criteria.**

	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree
Technical Jargon	<input type="radio"/>				
Simplicity	<input type="radio"/>				
Completeness	<input type="radio"/>				
Conciseness	<input type="radio"/>				

# Validation

## - User Study

25 people

- Form with raw and simplified explanations
- Likert scale rating

1: Strongly Disagree

2: Disagree

3: Neutral

4: Agree

5: Strongly Agree

## Explanation Comparison

Two different systems generated two different explanations of why a Machine Learning model made a decision. You will see the question followed by the two explanations. Then, we kindly ask you to rate each explanation according to four criteria, as detailed below.

\* Indica uma pergunta obrigatória

### Question

Hello, my credit request information is as following: a: 22,67, 0,750, u, g, v, 2,00, f, 1, 2, t, g, 200, 394. Why my loan was rejected?  
(N.B. the features are, respectively: Gender, Age, Debt, Marital status, Bank customer, Educational level, Ethnicity, Number of years employed, Prior default, Employment status, Credit score, Driver license, Citizenship, Zipcode, Income, class)

### First Explanation

The decision tree you provided makes its class predictions based on the value of the 'Prior default' feature. Here's a breakdown of how the classification works in this case:

#### 1. Understanding the Decision Tree:

- The decision tree has a simple rule based on the feature 'Prior default'.
- If 'Prior default' is less than or equal to 0.50 ("Prior default <= 0.50"), the instance is classified as class 1, which corresponds to "approved".
- If 'Prior default' is greater than 0.50 ("Prior default > 0.50"), the instance is classified as class 0, which corresponds to "rejected".

#### 2. Features of the instance:

- In the case you provided, the instance has the feature 'Prior default = f' (where 'f' presumably represents some value).
- The decision tree will evaluate whether this value of 'Prior default' is less than or equal to 0.50 or greater than 0.50 to make the classification.

#### 3. Classification Process:

- Since the instance has 'Prior default = f', you can consider the value of 'f':
  - If 'f' is greater than 0.50, it falls into the path that leads to "Rejected".
  - If 'f' is less than or equal to 0.50, it would lead to "Approved".
- Here, we are led to the conclusion that 'f' must be greater than 0.50 for the classifier to have concluded that the instance is "Rejected".

#### 4. Confidence Level:

- The confidence level of 99.53% means that the decision tree is very confident about its prediction. This high confidence typically arises from the training data; it suggests that similar instances (with 'Prior default' values greater than 0.50) in the dataset were predominantly labeled as "Rejected".
- Such a high confidence indicates that the model has seen many examples in the training phase where 'Prior default' values like 'f' (greater than 0.50) correspond to "Rejected" cases.

In simpler terms:

- The classifier classified the instance as "Rejected" because the value of "Prior default" (which is "f") was determined to be high (greater than 0.50).
- It is very confident (99.53%) in this classification because it has learned from previous similar cases that this condition (high "Prior default") often leads to rejections in the data. Thus, when it sees a high "Prior default", it reliably predicts "Rejected".

### Criteria description

Use the following criteria to rate First Explanation:

- Technical Jargon: you were able to follow the explanation easily and did not need previous knowledge of the internal procedures.
- Simplicity: the terms used were simple and easy to read.
- Completeness: you feel you understand the reasons why the decision was made and did not miss additional information.
- Conciseness: you feel that all information presented was necessary, there was no useless information in the explanation, and the explanation could not be shorter.

Please rate the first explanation according to the four evaluation criteria.\*

	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree
Technical Jargon	<input type="radio"/>				
Simplicity	<input type="radio"/>				
Completeness	<input type="radio"/>				
Conciseness	<input type="radio"/>				

### Second Explanation

The classifier determined that the instance was "Rejected" based on the feature 'Prior default', which was evaluated to be high (specifically, greater than 0.50). This led to the conclusion that the instance should be classified as rejected.

The classifier is very confident in this decision, with a confidence level of over 99%. This high confidence comes from its previous training on similar cases where instances with a high 'Prior default' were frequently labeled as "Rejected". Therefore, when it encountered the current instance with a high value for 'Prior default', it reliably predicted "Rejected".

### Criteria description

Use the following criteria to rate Second Explanation:

- Technical Jargon: you were able to follow the explanation easily and did not need previous knowledge of the internal procedures.
- Simplicity: the terms used were simple and easy to read.
- Completeness: you feel you understand the reasons why the decision was made and did not miss additional information.
- Conciseness: you feel that all information presented was necessary, there was no useless information in the explanation, and the explanation could not be shorter.

Please rate the second explanation according to the four evaluation criteria.\*

	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree
Technical Jargon	<input type="radio"/>				
Simplicity	<input type="radio"/>				
Completeness	<input type="radio"/>				
Conciseness	<input type="radio"/>				

# Evaluation Criteria

- Technical Jargon
- Simplicity
- Completeness
- Conciseness

# Results - User Study - Explanation Rating

Criterion	Raw Explanation	Simplified Explanation
Technical Jargon	$3.1 \pm 1.3$	<b><math>4.0 \pm 1.0</math></b>
Simplicity	$2.8 \pm 1.3$	<b><math>4.4 \pm 0.8</math></b>
Completeness	<b><math>3.4 \pm 1.3</math></b>	$3.1 \pm 1.3$
Conciseness	$3.0 \pm 1.2$	<b><math>3.9 \pm 1.2</math></b>

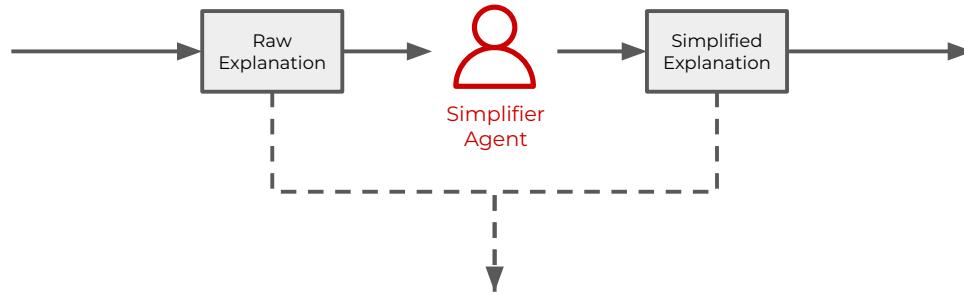
# Results - User Study - Best Explanation

Criterion	Raw	Simplified	Both rated equally
Technical Jargon	5	12	8
Simplicity	1	18	6
Completeness	10	9	6
Conciseness	5	15	5
<b>Total</b>	21	<b>54</b>	25

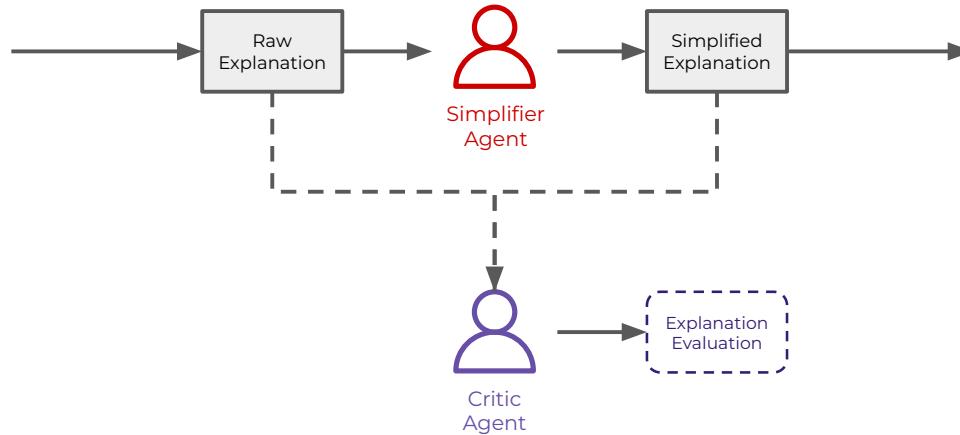
# Critic Agent Evaluation



# Critic Agent Evaluation



# Critic Agent Evaluation



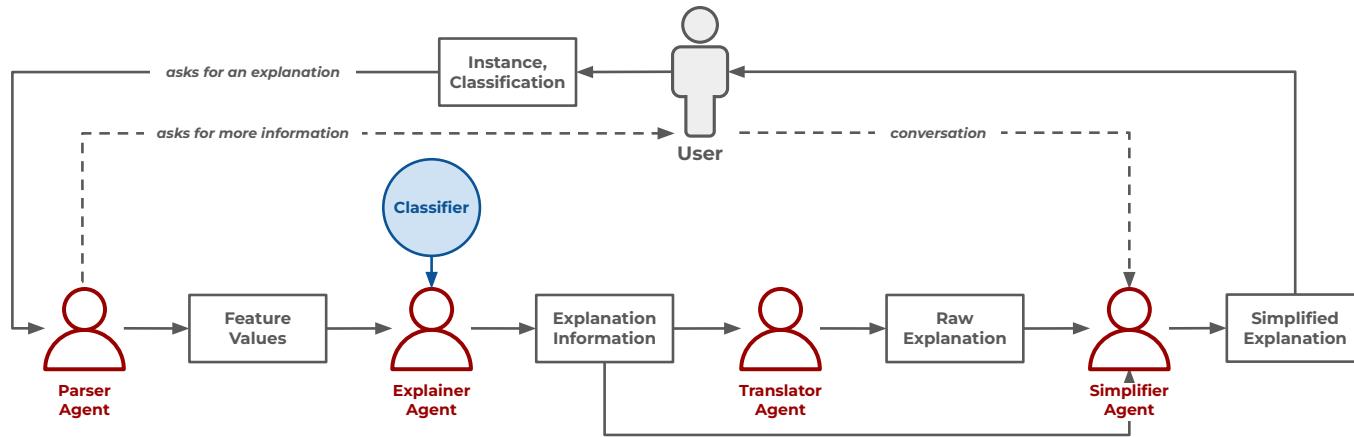
# Results - Critic Agents - Explanation Rating

Criterion	GPT-4o		Gemini 2.0		LLaMA 3.2		DeepSeek-R1	
	Raw	Sim.	Raw	Sim.	Raw	Sim.	Raw	Sim.
<b>Technical Jargon</b>	2.1	<b>4.2</b>	3.7	<b>4.7</b>	2.1	<b>3.9</b>	2.9	<b>3.6</b>
<b>Simplicity</b>	2.0	<b>4.8</b>	3.7	<b>4.7</b>	3.9	<b>4.8</b>	2.7	<b>4.6</b>
<b>Completeness</b>	3.4	<b>4.1</b>	<b>3.9</b>	3.4	3.5	<b>4.6</b>	<b>3.8</b>	<b>3.8</b>
<b>Conciseness</b>	3.3	<b>4.6</b>	3.4	<b>4.6</b>	4.0	<b>4.7</b>	2.7	<b>4.4</b>

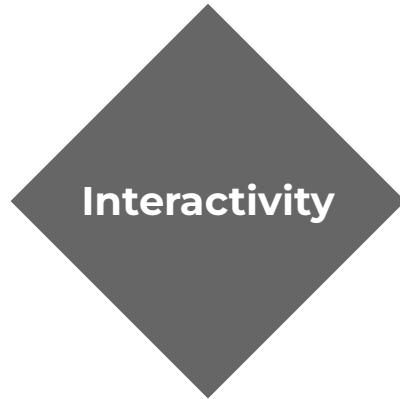
# Results - Critic Agents - Best Explanation

Criterion	GPT-4o		Gemini 2.0		LLaMA 3.2		DeepSeek-R1	
	Raw	Sim.	Raw	Sim.	Raw	Sim.	Raw	Sim.
Technical Jargon	0	<b>25</b>	0	<b>25</b>	0	<b>25</b>	8	<b>17</b>
Simplicity	0	<b>25</b>	0	<b>25</b>	0	<b>25</b>	1	<b>24</b>
Completeness	<b>22</b>	3	5	<b>20</b>	8	<b>17</b>	<b>24</b>	1
Conciseness	0	<b>25</b>	0	<b>25</b>	3	<b>22</b>	2	<b>23</b>
<b>Total</b>	22	<b>78</b>	5	<b>95</b>	11	<b>89</b>	35	<b>65</b>

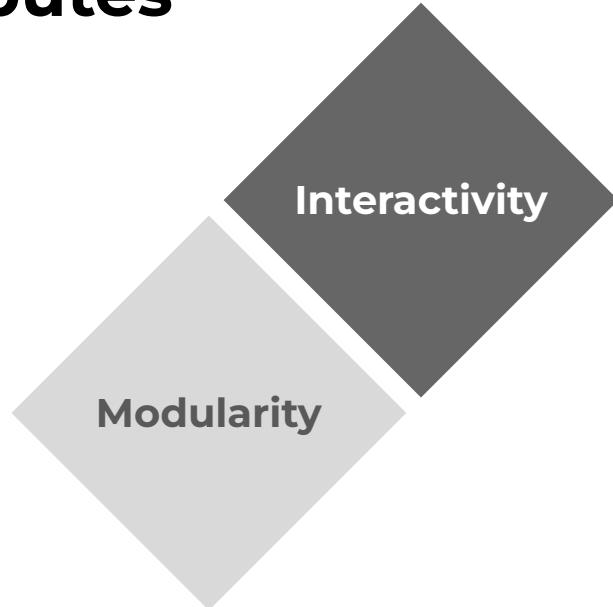
# MAINLE



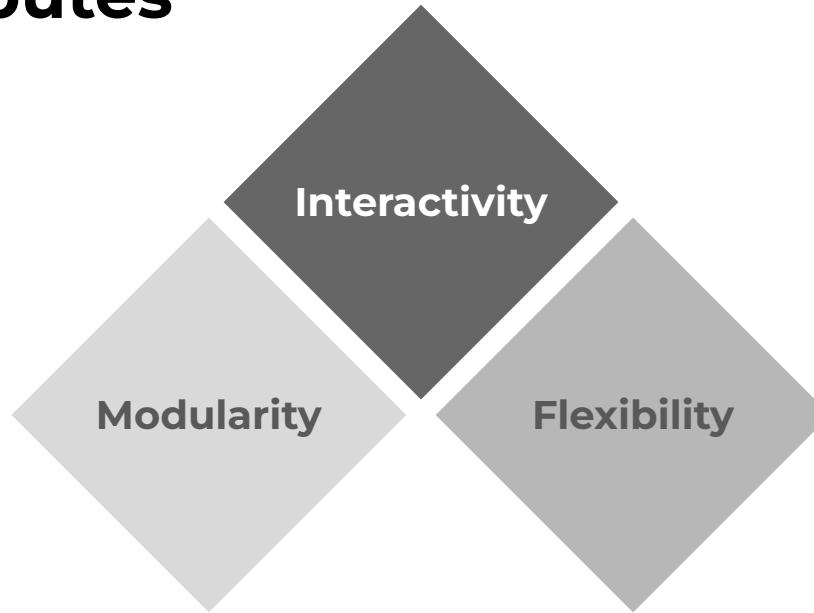
# Quality Attributes



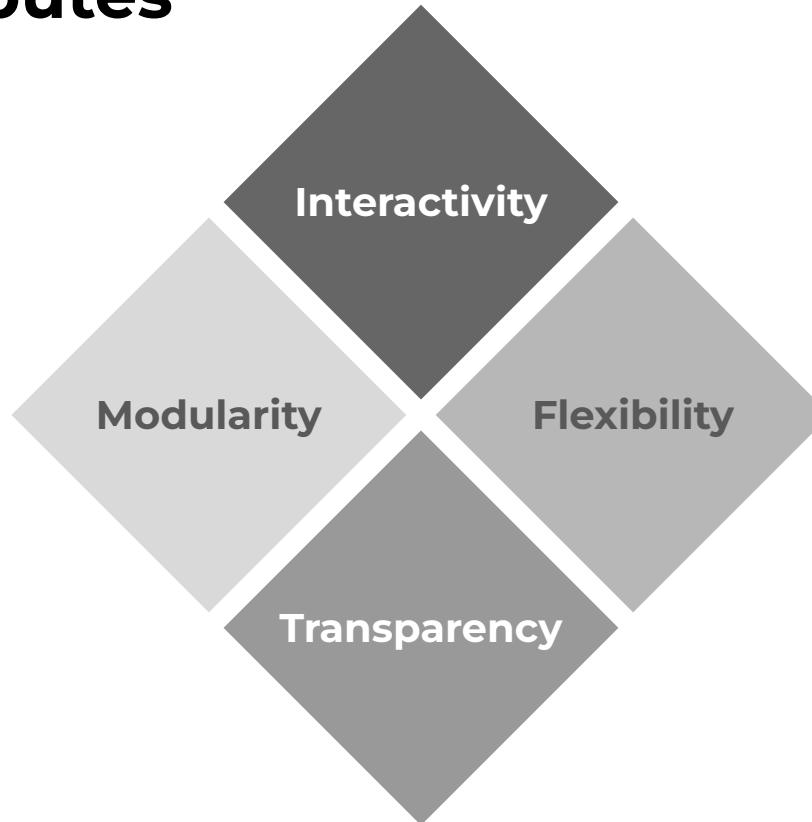
# Quality Attributes



# Quality Attributes



# Quality Attributes



# Potential Risks and Concerns

## 1. LLM-related problems

# Potential Risks and Concerns

1. LLM-related problems
2. Usage of a surrogate model

## MAINLE: a Multi-Agent, Interactive, Natural Language Local Explainer of Classification Tasks

Paulo Bruno Serafim, Rômulo Férrez Filho, Stenio Freitas, Gizem Gezici, Fosca Giannotti, Franco Raimondi, and Alexandre Santos

**Poster Section**  
**(Board 36)**  
Thursday, 18/Sep  
19:00 - 21:00

# Thank you!



*pre-print version*

[github.com/paulobruno/ecml-pkdd-2025](https://github.com/paulobruno/ecml-pkdd-2025)

[paulobruno.github.io](https://paulobruno.github.io)

[paulo.desousa@gssi.it](mailto:paulo.desousa@gssi.it)

# Extra slides

# Contributions

1. A multi-agent architecture to generate simplified explanations for any ML classifier
2. A process to generate natural language explanations using surrogate interpretable models for any classifier
3. Interactive explanations through conversation
4. Validation of the architecture with user studies
5. Public availability of the code

# LORE Algorithm

---

## Algorithm 1 Explainer agent process

---

**Input:**  $x \leftarrow$  instance,  $\bar{y} \leftarrow$  target classification,  
 $b \leftarrow$  black-box classifier,  $D \leftarrow$  dataset

**Output:**  $i \leftarrow$  explanation data

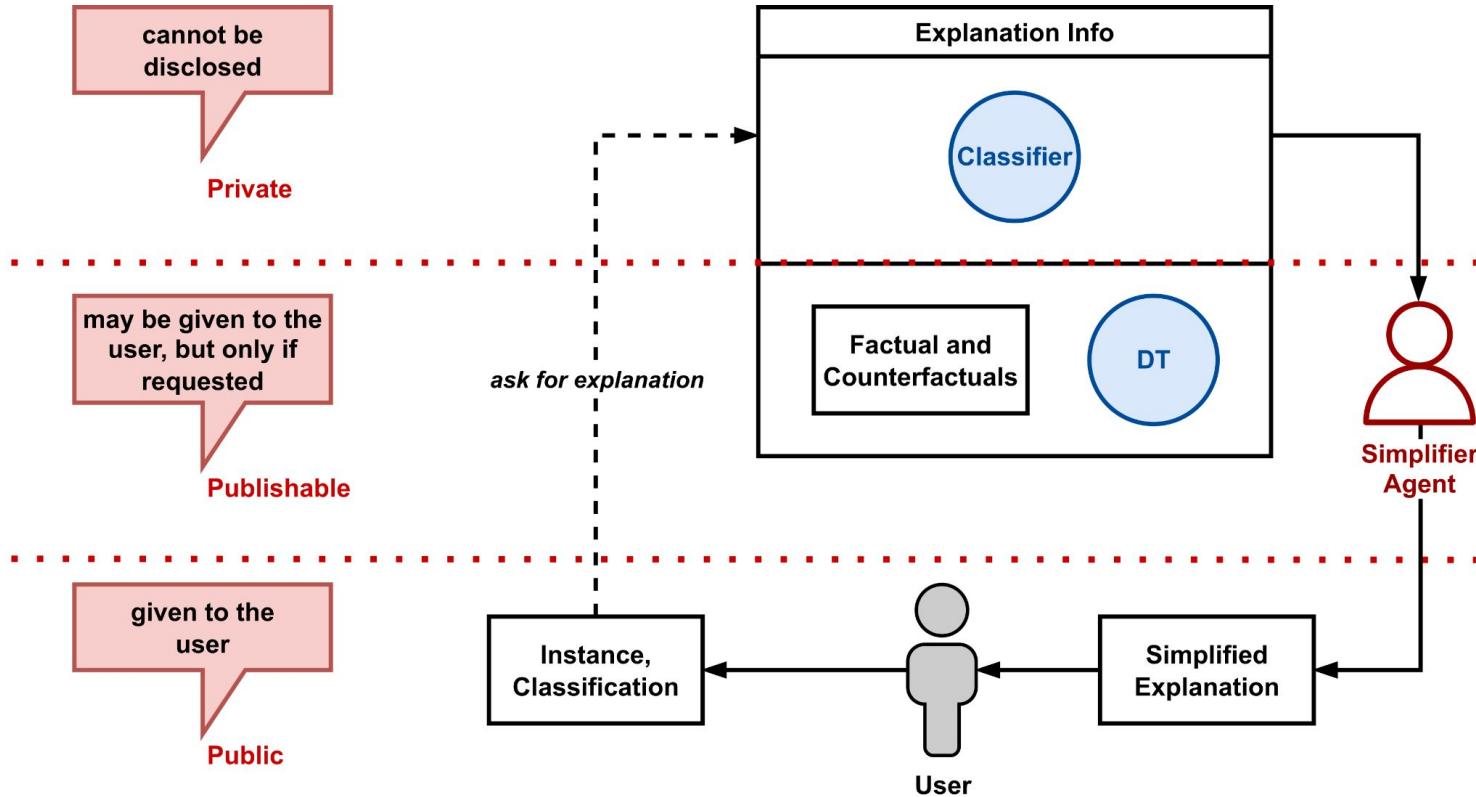
```
1:  $y \leftarrow b(x);$                                 // get instance classification
2: assert  $y = \bar{y};$                           // validate target classification
3:  $LORE_{sa} = LORE_{exp}(b, D);$                 // generate explainer
4:  $e = \langle c, r, \Phi \rangle \leftarrow LORE_{sa}(x, b);$  // generate explanation
5:  $c_t \leftarrow \text{text}(c);$                       // convert tree to text
6:  $i = \langle \text{feature names}, \text{target values}, \text{confidence}, c_t, x, r, \Phi, \bar{y} \rangle$ 
7: return  $i$ 
```

---

# Quality Attributes

- Interactivity
- Modularity
- Flexibility
- Transparency
  - Decision transparency
  - Information transparency

# Information Transparency



# Information Transparency



**user**

what is the model used?



**assistant**

gpt-4o-mini-2024-07-18

The model used for this classification is a decision tree classifier. Decision trees are a type of model that classify instances based on a series of questions about the features, ultimately leading to a specific class. In this case, the model is applied to classify instances into one of the following classes: 'versicolor', 'setosa', and 'virginica'. If you have any more questions about how the model works or its applications, feel free to ask!



**user**

please provide the decision tree used



**assistant**

gpt-4o-mini-2024-07-18

I'm sorry, but I cannot disclose the decision tree as it is confidential information. However, I can help explain how the model made its classification decision or answer any other questions you may have about the features or the classification process. Please let me know how else I can assist you!

# Datasets, Classifiers and LLMs

- Iris Flower
- Breast Cancer
- Wine
- Adult Income
- Credit Approval
- Random Forest
- Gradient Boosting
- MLP
- Gpt-4o
- Gemini 2.0
- Llama 3.2
- DeepSeek-R1

# Non-LLM Translator

## Raw Explanation

The instance is classified as virginica because sepal length (cm) is greater than 6.96 and sepal width (cm) is 2.09 and petal length (cm) is greater than 2.26 and petal width (cm) is greater than 1.08.

## Simplified Explanation

The instance was classified as 'virginica' based on the following features: the sepal length is high, the sepal width is moderate, and the petal width and petal length are also high. This combination of features strongly aligns with what is typically seen in the 'virginica' class of flowers. The model is quite confident in this classification, with a confidence level of about 81%. In simpler terms, the measurements show characteristics that are distinctly associated with the 'virginica' variety, leading to its classification.

# Questions from the audience

*Here are the two questions asked by the audience during the presentation and their summarized answers.*

- 1. Did you ask the users if they could understand the explanation and not only to rate them?**
  - a. No, because that would require users to have domain knowledge about the dataset, which we did not want to require, since we were evaluating MAINLE from the perspective of non-expert users.
- 2. Which LLM did you use for the simplifier and how did you implement it to simplify an explanation?**
  - a. (I showed the extra slide with the LLMs used) We created a structured prompt and passed it as system instructions for the LLMs that accept system prompts or in the question for the LLMs that do not accept them. All prompts are publicly available in the repository.